

PUREI HŌIHO NĀTI O AOTEAROA

YOUR PERSONAL DETAILS

NEW ZEALAND THOROUGHBRED RACING INC

PO Box 38386, Wellington Mail Centre 5045 Telephone: (04) 576 6240 | Facsimile: (04) 568 8866 Web: loveracing.nz | Email: licensing@nztr.co.nz Please attach a recent passport photograph of yourself. This is required for all new applicants.

C7: 01-21

APPLICATION FOR CLASS C MISC LICENCE RIDERS AGENT

FEE \$80.00 GST incl. GST No. 10-386-896

(Under Rule 317 of the NZTR Rules of Racing)

| 1. | Title (Mr/Mrs/Miss/Ms) | | | | |
|-----|--|---------------------------------------|------|----------|----------|
| 2. | Surname | | | | |
| 3. | Given Names (in full) | | | | |
| 4. | Date of Birth / Place of Birth | | | | |
| 5. | Nationality | | | <u>.</u> | |
| 6. | Residential Address | | | - 40 | A 1 |
| | | | | | Postcode |
| 7. | Postal Address | | | No. | Va. |
| | | | | | Postcode |
| 8. | Home Phone | | | Alma I | |
| 9. | Mobile Phone | 153 | | 1,12 | |
| 10. | Email Address | | | / | 7 |
| 11. | Facsimile Number | | | | |
| 12. | NZ Drivers' Licence or Passport Number | | | | # |
| DED | SONAL AND LIGENSE HISTORY | | | | |
| | SONAL AND LICENCE HISTORY | | | | |
| 13. | Are you engaged in any other profession, business If so, give details (extra space is provided at the botton | or occupation? n of this section). | | | |
| 14. | If employed, name of employer. | | | | |
| 15. | Names of any other persons assisting you in your can Agent. | apacity as a Rider's | O. V | | |
| 16. | Are you currently or have you been licensed by any Racing, Harness Racing, Greyhound or other sporting bodies or tribunals? If so, give details below. | | | | |
| 17. | Have you ever had a licence disqualified, revoked, suspended, withdrawn, refused by any Racing Authority. If so, give details. | | | | |
| 18. | Are you currently under any disqualification, suspension or any other disability imposed by any Racing Authority? | | | | |
| 19. | Have you, ever been convicted of an offence in any court under your own name or any other name? | | | | |
| 20. | Have you, in the last 10 years been on, or are you now on a bond or recognizance? | | | | |
| 21. | Is there any changes, criminal prosecution or civil proceedings against you now pending? | | | | |
| 22. | Do you currently owe, including arrears, any fines, of other money to any Race Club/s or other organisation industry? "Yes" or "No". If "Yes", provide full details. | | | | |
| 23. | Have you within the past five years prior to this decl you currently, subject to any High Court, District Co Tribunal action for any money allegedly owed by you company or other entity? "Yes" or "No". If "Yes", provide full details. | urt or Dispute | | | |

| Applica | ant Name: | Application for Rider Agent – page 2 | | | | |
|---|---|---|--|--|--|--|
| 24. | Have you within the past five years prior to this declaration beryou currently, the subject of: (a) Examination as to Means by the District Court? (b) Judgement by default by the District or High Court? (c) Judgement against you in the Disputes Tribunal? "Yes" or "No". If "Yes", provide full details. | en, or are | | | | |
| 25. | Have you ever been declared Bankrupt? "Yes" or "No". If "Yes", provide full details. | | | | | |
| 26. | If you have previously been bankrupted, please give the date o discharge from such bankruptcy and provide official document relating to such. | f your tation | | | | |
| Provi | Provide additional details here (if required) | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| JOC | KEY / CLIENT LIST | | | | | |
| Jockeys (If Class B Rider include employer) | | REGION (All / Northern / Central / South) | | | | |
| 1. | | | | | | |
| 2. | | | | | | |
| 3. | | | | | | |
| CONTACT PERSON (in case of emergency) | | | | | | |
| 24. | Name | | | | | |
| 25. | Relationship to you | | | | | |
| 26. | Best contact number | | | | | |
| PRIV | /ACY ACT 2020 | | | | | |
| | This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose | | | | | |

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address. If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.

CREDIT CHECKING & DEBT COLLECTION

You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

Payment of all accounts held in your name with NZTR, which relate to fines is due by the 20th day of the month following the month in which the costs are incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment (including any applicable late payment fees and interest) is received in full. You will also be liable to pay all costs incurred in recovering the amount owed to NZTR, including any legal fees, debt recovery fees or agency fees. Furthermore, NZTR reserves the right to withdraw your line of credit, refuse nominations for horses and place you on the NZTR Arrears List until the outstanding amount is received in full. The full NZTR Debt Collection Policy Process is available from the NZTR website loveracing.nz.

Applicant Name: Application for Rider Agent – page 3

CONDITIONS OF REGISTRATION

In the event of such Registration being granted, I agree:

1. That by signing and submitting this form to New Zealand Thoroughbred Racing Inc (NZTR) I have read the form and all of the information that I have provided to NZTR in this application form is true and correct in every particular.

- 2. That I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the NZTR Rules of Racing or otherwise. I confirm with the requirements listed therein and that I:
 - a. have attained the age of 18 years;
 - b. am of good character; and
 - c. am competent and responsible to discharge the functions associated with engaging Riders.
- 3. To observe and be bound by Racing Club by-laws and Track Regulations and Rules of Racing of the NZTR in force from time to time during the currency of such Registration and also such directions as may from time to time be made or given by NZTR, the Committee, Stewards or officials of a Racing Club.
- 4. That the Registration may be revoked at any time by NZTR.
- 5. Not to make any public statement or comment concerning any matter currently the subject of investigation or inquiry before the Stewards of NZTR, NZTR or the JCA.
- 6. A holder of a Class C miscellaneous licence shall represent only persons in respect of whom he/she has obtained written approval of NZTR.
- 7. When applying initially for Registration as a Class C miscellaneous licence holder a person shall submit evidence of any contract or agreement with the Rider or employer of a holder of a Class B rider's licence in respect of whom he/she seeks to be registered, and subsequently shall make further similar application for the variation of his/her Registration to include any potential additional clients.
- 8. A registered holder of a Class C miscellaneous licence shall as soon as practical notify in writing in the event of the termination of his/her contract or agreement with a holder of a Class A rider's licence or employer of a holder of a Class B rider's licence.
- 9. In the event of any contract or agreement between a holder of a Class C miscellaneous licence and his/her client being in dispute, the parties to such contract or agreement shall seek their remedy in the normal course of contract law.
- 10. Any employer of a holder of a Class B rider's licence who engages a holder of a Class C miscellaneous licence to manage the responsibilities of his/her holder of a Class B rider's licence in relation to the riding engagements of his/her holder of a Class B rider's licence shall be responsible, from his/her percentage of the earnings of his/her holder of a Class B rider's licence, for the fees payable to his/her holder of a Class C miscellaneous licence unless both the employer and the holder of a Class B rider's licence have advised in writing any other arrangements that are to be in place.
- 11. I acknowledge that I am personally bound by the Rules of Racing.

Full Name of Applicant

Signature of Applicant

Card No.

Cardholder's Name:

- 12. I acknowledge that I have read the protocol and agreed to be bound by it.
- 13. I authorise NZTR to use the information collected from me for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate.

I certify that to the best of my knowledge and belief the particulars as outlined in this application are true and correct. I also declare that I understand that it is a serious racing offence under the Rules of Racing to make a false declaration and failure to accurately answer these questions may lead to this application being refused.

Full Name of Witness

Signature of Witness

| Date | | | | Date | | | | |
|--|------------|--------|--------|---------------|--|--|--|--|
| PAYMENT DETAILS | | | | | | | | |
| When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records. I have paid by bank deposit: Date Deposited: Reference Used: | | | | | | | | |
| New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference) | | | | | | | | |
| Please charge my: | Mastercard | Visa □ | Amex 🗆 | Diners Club □ | | | | |

APPLICATIONS ARE NOT APPROVED UNTIL ALL RELEVANT ENQUIRIES HAVE BEEN MADE

Signature: