

SR20: 01-21

## NEW ZEALAND THOROUGHBRED RACING INC

PO Box 38386, Wellington Mail Centre 5045 Telephone: (04) 576 6240 | Facsimile: (04) 568 8866 Web: loveracing.nz | Email: registration@nztr.co.nz



# NOTICE OF CHANGE OF OWNERSHIP OF A HORSE

NZTR requires the new owner to submit a change of ownership to NZTR within <u>15 days</u> of any such change taking place, or before a horse starts in a race, whichever is the shorter period.

Please ensure that this form is completed accurately and all information requested Is provided. An incomplete form is subject to a processing delay.

This registration is subject to Training, Co-owner and Lease Agreements being in place (Part XV of the Rules of Racing). Unless the parties to this registration have their own agreements, which must have been properly submitted to NZTR, they will be deemed to be subject to the standard NZTR Training Agreement, the NZTR Co-owner Agreement and the NZTR Lease Agreement.

More information is on NZTR website: https://loveracing.nz/nztr/info-and-comms/trainer-owner-reforms

SECTION 1. HORSE DETAILS			
Name:			Purchase/Transfer Date:
Sire:	Dam:		
Age/Year of Foaling:	Sex: Colt 🗆 Filly 🗆 Ma	re 🗆 Gelding 🗆	Colour: B 🗆 Blk 🗆 Br 🗆 Ch 🗆 Gr 🗆
	Gelding date:		Other:
Cipher Brand (Near Side)	Numerical Brand (Off Side)		Microchip:

### SECTION 2. SELLER DETAILS

Must be completed by ALL persons relinquishing their share, or part thereof, in the horse. Where an owner is a collection of persons (e.g. syndicate or partnership) specify each person comprising that collection of persons. If more seller sections are required, attach form SR20A.

1. Full Name:	Signature:
2. Full Name:	Signature:
3. Full Name:	Signature:
4. Full Name:	Signature:
5. Full Name:	Signature:
6. Full Name:	Signature:
7. Full Name:	Signature:
8. Full Name:	Signature:
9. Full Name:	Signature:
10. Full Name:	Signature:

#### **SECTION 3. PAYMENT DETAILS**

When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.							
I have paid by bank deposit: D Date Deposited:	Reference Used:						
New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference)							
Please charge my: Mastercard 🗆 Visa 🗆							
Card No:		Expiry:					
Cardholder's Name:	Signature:						
OFFICE USE Date: Paceiver!	Amount Paid: \$	Payment Coding					
OFFICE USE Date: Received:	Amount Paid: \$	Payment Coding:					

Sire:

SECTION 4. RACING MANAGER (as required under Rules 429-430)																		
Every horse must have a person appointed as Racing M the new owner section.	lanager. Wi	here a	horse	e is ow	ned by a	ı legal er	ntity	(e.ç	j. a cor	npany)	detai	ls o	f the le	gal ei	ntity	must	t be ei	ntered in
Is there a current Racing Manager registered with NZTR for this horse?																		
I accept appointment as Racing Manager for the abovemen Rules of Racing, including the provision of NZTR Owners S other purpose which, in accordance with its privacy policy it	ettlement Ad	ccounts	s. I aut	thorise	NZTR to													
First Name(s)	Surname Date of Birth																	
Postal address																		
Email Address																		
Telephone – Home Teleph						- Mobile												
The NZTR Owners Settlement Account for the abovemention you wish to receive the Settlement Account by email or post					icing Ma	nager eit	her t	by e	mail or	to the p	ostal	addı	ress ab	ove. F	leas	se con	ıfirm w	hether
Please advise if there is an email address to which you w your accountant):	ould like <u>co</u>	pies of	f the N	NZTR C	Owners (	Settlemer	nt Ac	ccou	nts for	the abo	oveme	entio	ned ho	rse al	so te	o be s	sent to	(e.g.
Email for copies of NZTR Owners Settlement Accounts																		
Account Number for direct credit of stakes																		
The Settlement Account can also be managed online at low	<u>veracing.nz,</u>	email	TROS	SA@nz	<u>tr.co.nz</u>	for acces	s to	this	service	Э.								
Payment of all accounts held in your name with NZTR, which following the month in which the debt is incurred. Any account as well as interest of 12.5% per annum on the amount unput agency to collect unpaid amounts from you, you will also be the NZTR website <u>loveracing.nz</u> . <b>Declaration:</b> By signing and submitting this form to NZTR I that the provision of any false, misleading or inaccurate infor requirements listed therein and that I am: (a) Eligible to end	unts remaini aid from the e liable to pa certify I hav prmation on t	ng unp date pa y to NZ re read this forr	aid af aymen TR th the fo n may	ter the nt is due ne reaso orm and y result	due date e until th onable c that all in me be	e will incu e date pa ollection of the infe	r a la yme coste orma	ate p ent is s it i ation ed u	baymer s receiv ncurs to I have under th	t fee of ed in fu o do so set out e Rules	\$25.0 III. In the f The f on the s of Ra	)0 pe he e full N is fo acing	er mont event NZ NZTR C rm is tri g or oth	h whil ZTR e credit l ue and erwise	e the nga Polic d coi	e debt ges a cy is a rrect.	t rema debt c vailab I ackn n with	ins unpaid ollection le from owledge the
requirements listed therein and that I am: (a) Eligible to enter on a racecourse (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race. I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse. I authorise NZTR to use the information collected from me for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate. If you do <b>not</b> wish																		
your information to be disclosed and retained by third partie	es for the pur	rpose o	of prov	viding yo	ou with i	nformatio	n on	eve	ents, pr	oducts	and se	ervic	es, the	n plea	ise ti	ick the	e box l	elow.
Signed						%: (if applica	able)						Date	)				
SECTION 5. OWNER DETAILS																		
Every person with an ownership share in the horse mu	st complete	a sep	arate	sectio	n. Pleas	e print c	earl	v.										
NEW OWNER	· ·	•						-										
First Name(s)		Surname Date of Birth																
Postal address																		
Email Address																		
Telephone – Home			Т	Telepho	one – Me	bile												
<b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.																		
I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.																		

Signed	%	Date

#### Horse Name:

Sire:

Dam:

NEW OWNER								
First Name(s)	Surname Date of Birth							
Postal address								
Email Address								
Telephone – Home	Telephone	– Mobile						
<b>Declaration:</b> By signing this form I certify I have read the form and that all of the information s correct. I acknowledge that the provision of any false, misleading or inaccurate information on this is (a) Eligible to enter on a racecourse, (b) Eligible under the Rules of Racing to have an interest in or NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance wirarise.	form may result in me being to enter or start such horse	prosecuted under the Rules of Racing or otherwise. I in any race (Rule 525 (2)). I acknowledge and agree th	confirm w nat the Re	ith the requirements listed therein and that I am gister of registered horses and owners kept by				
l authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 9 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.								
Signed % Date								
NEW OWNER		1						
First Name(s)	Surname			Date of Birth				
Postal address								
Email Address								
Telephone – Home	Telephone	– Mobile						
<b>Declaration:</b> By signing this form I certify I have read the form and that all of the information s correct. I acknowledge that the provision of any false, misleading or inaccurate information on this: (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance wi arise.	form may result in me being to enter or start such horse	prosecuted under the Rules of Racing or otherwise. I to in any race (Rule 525 (2)). I acknowledge and agree th	confirm w nat the Re	ith the requirements listed therein and that I am gister of registered horses and owners kept by				
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Signed		%	Date					
NEW OWNER								
First Name(s)	Surname Date of Birth							
	Postal address							
Postal address								
Postal address Email Address								
	Telephone	– Mobile						
Email Address	et out on this form, includin form may result in me being to enter or start such horse	g the horse identification by physical inspection of the h prosecuted under the Rules of Racing or otherwise. I in any race (Rule 525 (2)). I acknowledge and agree th	confirm w nat the Re	ith the requirements listed therein and that I am gister of registered horses and owners kept by				
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Email Address         Telephone – Home         Declaration: By signing this form I certify I have read the form and that all of the information s correct. I acknowledge that the provision of any false, misleading or inaccurate information on this: (a) Eligible to enter on a reaccurace; (b) Eligible under the Rules of Racing to have an interest in or NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance will arise.         I authorise and consent to NZTR using and disclosing the information collected from me in accordan NZTR or third parties to the email address I provide in this form.         Signed         NEW OWNER         First Name(s)         Postal address         Email Address	et out on this form, includin form may result in me being to enter or start such horse th the Rules of Racing, and unce with section 9 of this for Surname	g the horse identification by physical inspection of the h prosecuted under the Rules of Racing or otherwise. I in any race (Rule 525 (2)). I acknowledge and agree th does not constitute nor determine proof of sole or joint rm, including consenting to receiving direct marketing a % % _ Mobile g the horse identification by physical inspection of the h prosecuted under the Rules of Racing or otherwise. I of in any race (Rule 525 (2)). I acknowledge and agree th	confirm w marked the Read of the Read t	ith the requirements listed therein and that I am gister of registered horses and owners kept by ip of such horse in the event that a dispute may nation on events, products and services from Date of Birth				
Email Address         Telephone – Home         Declaration: By signing this form I certify I have read the form and that all of the information on this is (a) Eligible to enter on a racecourse, (b) Eligible under the Rules of Racing to have an interest in or NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with arise.         I authorise and consent to NZTR using and disclosing the information collected from me in accordance with arise.         I authorise and consent to NZTR using and disclosing the information collected from me in accordance with arise.         Signed         NEW OWNER         First Name(s)         Postal address         Email Address         Telephone – Home         Declaration: By signing this form I certify I have read the form and that all of the information on this: (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with arise.	et out on this form, includin form may result in me being to enter or start such horse th the Rules of Racing, and unce with section 9 of this for Surname Telephone et out on this form, includin form may result in me being to enter or start such horse th the Rules of Racing, and	g the horse identification by physical inspection of the h prosecuted under the Rules of Racing or otherwise. I in any race (Rule 525 (2)). I acknowledge and agree th does not constitute nor determine proof of sole or joint rm, including consenting to receiving direct marketing a % % 	confirm w marked the Read of t	ith the requirements listed therein and that I am gister of registered horses and owners kept by ip of such horse in the event that a dispute may nation on events, products and services from Date of Birth appointment of the Racing Manager, is true and the the requirements listed therein and that I am gister of registered horses and owners kept by ip of such horse in the event that a dispute may				

IF MORE OWNER SECTIONS ARE REQUIRED EITHER COPY THIS PAGE OR USE FORM SR20B

SECTIC	ON 6: GS	T STATUS						
6.1	Is this hors	e included within your GST activities for racing?	If YES $\rightarrow$ go to 6.2 below If NO $\rightarrow$ go to Section 7					
6.2	Are you or	your ownership group a single entity for GST purposes?	If YES $\rightarrow$ go to (a) below If NO $\rightarrow$ go to (b) below					
	(a)	If you answered YES to 6.2 please provide your GST number/or your ownership groups GST number	GST Number:					
		groups Con number	GST Partnership Name (if applicable):					
	(b)	If you answered NO to question 6.2 above, you will be required to provide the GST below.	number for each individual owner GST registered for racing in the boxes					
	Name of C	GST Registered Person	GST Number For Racing Purposes					

## SECTION 7. RACEBOOK NAME FOR PUBLICATIONS

Please state the name (s) which is/are to appear as owner(s) in publications and other media forms. For the avoidance of doubt, an ownership name may include the term "syndicate" "partnership", or "trust", etc if the registered owners wish to use that term to describe their relationship or the nature of their ownership interest in the horse. E.g. the racebook name for the owners could be ABC Syndicate, ABC Partnership, or it could be A, B & C Smith. Space in some publications is limited, please be aware that all names may not be published but will appear on *loveracing.nz* website.

1.
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5.
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10.
Colours horse will race in: If not registered, NZTR approval of the design is required – form SR4
Registered owner of colours:

### **PRIVACY ACT 2020**

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose direct marketing and providing you with information on events, products and services, then please tick the box below.