

New Zealand Thoroughbred Racing (NZTR)

Licensing Policy

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NZTR LICENSING POLICY

Contents

1.	PRELIMINARY	5
1.1.	Purpose and Authorising Provision	5
1.2.	Commencement and Transitional Provisions.....	5
2.	GENERAL REQUIREMENTS FOR THE ISSUE OF LICENCES	5
2.1.	NZTR consideration of licence applications.....	6
2.2.	Applicants' rights of appeal against decision	6
2.3.	Condition applicable to all licences	6
2.4.	Minimum runners/rides and/or winners	6
2.5.	Continuing Professional Development.....	6
3.	CLASS A TRAINER'S LICENCE	7
3.1.	Requirements for the Granting of a Class A Trainer Licence	7
3.2.	Training (and/or Industry) Experience	7
3.3.	Criteria	8
3.4.	Conditions Imposed on All Class A Trainer's Licences.....	9
4.	CLASS B TRAINER LICENCE.....	11
4.1.	Requirements for the Granting of a Class B Trainer's Licence	11
4.2.	Training (and/or Industry) Experience	11

4.3.	Criteria	12
4.4.	Conditions Imposed on Class B Trainer’s Licences	13
5.	PRE-TRAINER’S LICENCE	14
5.1.	Requirements for the Granting of a Pre-Trainer’s Licence	15
5.2.	Training (and/or Industry) Experience	15
5.3.	Criteria	15
5.4.	Conditions Imposed on all Pre-Trainer’s Licences.....	16
6.	ASSISTANT TRAINER’S LICENCE	17
6.1.	Requirements for the Granting of an Assistant Trainer’s Licence.....	17
6.2.	Training (and/or Industry) Experience	17
6.3.	Criteria	17
6.4.	Conditions Imposed on All Assistant Trainer’s Licences	18
7.	STABLEHAND’S LICENCE	18
7.1.	Requirements for the Granting of a Stablehand’s Licence	18
7.2.	Stable Experience	19
7.3.	Criteria	19
7.4.	Conditions Imposed on All Stablehand’s Licences	19
8.	STABLE ASSISTANT’S LICENCE.....	19
8.1.	Requirements for the Granting of a Stable Assistant’s Licence	19
8.2.	Racing/Stable Experience	20
8.3.	Criteria	20
8.4.	Conditions Imposed on All Stable Assistant’s Licences	20
9.	TRACKWORK RIDER (SLOW) LICENCE.....	20
9.1.	Requirements for the Granting of a Slow Trackwork Rider’s Licence.....	20
9.2.	Experience	21
9.3.	Criteria	21
9.4.	Conditions Imposed on All Trackwork Rider (Slow) Licences.....	21
10.	TRACKWORK RIDER (FAST) LICENCE.....	22
10.1.	Requirements for the Granting of a Trackwork Rider (Fast) Licence.....	22
10.2.	Experience	22
10.3.	Criteria	22
10.4.	Conditions Imposed on All Trackwork Rider (Fast) Licences.....	23
11.	CLASS D RIDER’S LICENCE (JUMPS RIDER’S LICENCE).....	23
11.1.	Requirements for the Granting of a Class D Rider’s Licence.....	24
11.2.	Experience	24

11.3.	Criteria	24
11.4.	Conditions Imposed on All Class D Rider’s Licences.....	25
12.	CLASS E RIDER’S LICENCE (AMATEUR RIDER’S LICENCE).....	25
12.1.	Requirements for the Granting of a Class E Rider’s Licence	25
12.2.	Experience	25
12.3.	Criteria	26
12.4.	Conditions Imposed on All Class E Rider’s Licences	26
13.	CLASS C RIDER’S LICENCE (PROBATIONER’S LICENCE)	27
13.1.	Requirements for the Granting of a Class B Rider’s Licence	27
13.2.	Experience	27
13.3.	Criteria	27
13.4.	Conditions Imposed on Class C Rider’s Licences	28
14.	CLASS B RIDER’S LICENCE (APPRENTICE JOCKEY’S LICENCE).....	29
14.1.	Requirements for the Granting of a Class B Rider’s Licence	29
14.2.	Experience	29
14.3.	Criteria	30
14.4.	Conditions Imposed on Class B Rider’s Licences	31
15.	CLASS A RIDER’S LICENCE (JOCKEY’S LICENCE).....	32
15.1.	Requirements for the Granting of a Class A Rider’s Licence	32
15.2.	Experience	32
15.3.	Criteria	32
15.4.	Conditions Imposed on Class A Rider’s Licences	33
16.	RIDER’S AGENT LICENCE	34
16.1.	Requirements for the Granting of a Rider’s Agent Licence.....	34
16.2.	Experience	34
16.3.	Criteria	34
16.4.	Conditions Imposed on Rider’s Agent Licences.....	34
17.	VISITING TRAINER’S LICENCE.....	35
17.1.	Requirements for the Grant of a Visiting Trainer’s Licence.	35
17.2.	Criteria.	35
17.3.	Licence Conditions.....	35
18.	VISITING RIDER’S LICENCE	36
18.1.	Requirements for the Grant of a Visiting Rider’s Licence.	36
18.2.	Criteria.	36
18.3.	Licence Conditions.....	36

19. VISITING ASSISTANT’S LICENCE36

19.1. Requirements for the Granting of a Visiting Assistant Licence.36

19.2. Criteria.37

19.3. Licence Conditions.....37

Schedule 1 - NZTR Strength and Fitness Test38

1. PRELIMINARY

1.1. Purpose and Authorising Provision

This NZTR Licensing Policy is made pursuant to Rule 303 of the New Zealand Rules of Racing, and it sets out the requirements that an applicant must satisfy before NZTR will consider granting a licence, and the conditions upon which a person holds a licence.

An updated copy of the Rules of Racing can be located at the New Zealand Thoroughbred Racing website [Rules, Directives & Policies - NZTR](#).

Terms used in this Policy have the same meaning that they are given in the Rules of Racing, unless indicated otherwise. Any reference to a 'horse' means a registered Thoroughbred horse.

1.2. Commencement and Transitional Provisions

This Licensing Policy comes into force on 1 February 2024.

2. GENERAL REQUIREMENTS FOR THE ISSUE OF LICENCES

It is a requirement for the grant of any licence that the applicant meets the following:

- (a) *Experience*: has the practical experience reasonably required to carry on the vocation of the licence type applied for;
- (b) *Suitability*: has satisfied NZTR that he or she is suitable to hold a licence in line with the NZTR 'Suitability Policy', taking into account any fact(s) or matter(s) that NZTR considers appropriate;
- (c) *Examination*: undertakes and passes any written or verbal examination conducted by NZTR, which may include matters relating to general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and relevant Rules of Racing.
- (d) *Education*: has completed any study course or Continuing Professional Development module required for the licence being applied for.
- (e) *Email addresses*: all Licenceholders must have a valid email address.
- (f) *Lawful ability to work*: all Licenceholders must lawfully be able to work in New Zealand, either by being a New Zealand resident or holding a valid work visa.
- (g) *Language skills*: applicants, for whom English is not their first language, may be required to undertake an IELTS test. If an applicant scores less than 5.5 in the IELTS test NZTR, in its absolute discretion, may refuse the application or require the applicant to undertake an English language literacy and learning plan. Visiting Licenceholders should be able to communicate sufficiently with NZTR staff and Stipendiary Stewards. The applicant must be able to communicate effectively in English.
- (h) *Animal welfare*: applicants must not have any convictions under the Animal Welfare Act 1999 (or international equivalent).

Further specific requirements for each licence type are set out in this Policy, the application forms, and in the Rules.

2.1. NZTR consideration of licence applications

In considering an application for a licence, NZTR may, in its discretion:

- (a) Impose on a licence applicant any other pre-requisite or requirement not set out in this Policy as it deems appropriate; or
- (b) Relieve a licence applicant from any of the requirements set out in this Policy. Should an applicant wish to seek relief from a requirement, the applicant must apply in writing to NZTR and outline the relief sought, and the reasons for the request for relief.

In accordance with its powers under the Rules, NZTR may:

- (a) Refuse to grant a licence; or
- (b) Grant a licence as applied for; and
- (c) At any time, on reasonable grounds, review and impose conditions on any licence, or cancel, withdraw or suspend any Licence.

2.2. Applicants' rights of appeal against decision

An applicant may appeal NZTR's decision to refuse to grant a licence, or to suspend, withdraw, revoke, or cancel a licence, or to impose conditions on a licence. Such appeals will be determined by NZTR's Racing and Integrity Committee which may confirm or vary NZTR's decision.

2.3. Condition applicable to all licences

Every licence which is issued contains, and every Licenceholder acknowledges that their licence contains, a condition that the Licenceholder shall, whenever required to do so by a Stipendiary Steward or Investigator in accordance with the Rules, permit a sample of the Licenceholder's blood, breath, urine, saliva or sweat, hair follicle, or other tissue or bodily fluid (or more than one thereof), to be obtained from the Licenceholder by, or under the supervision of, a Registered Medical Practitioner or an Authorised Person.

2.4. Minimum runners/rides and/or winners

In addition to any obligations and conditions provided by the Rules or this Policy, an applicant for applicable licence types must agree and acknowledge that, following the grant of the licence, the Licenceholder may be required to have a minimum number of runners/rides and/or winners per racing season, in accordance with the category of licence issued, to be eligible for renewal of that licence.

Specific details, where applicable, will be detailed in the conditions section for each licence type.

2.5. Continuing Professional Development

NZTR has developed and adopted a Continuing Professional Development (CPD) Policy, which requires that a Licenceholder must meet professional development and performance standards to be eligible for the renewal of a licence. This will be a condition on the granting of a licence.

The CPD and performance standards for each licence type are detailed in the CPD Policy.

A Licenceholder will not be eligible for renewal of their licence unless they have met the requirements of the CPD Policy or received an exemption from NZTR, which shall only be granted in extraordinary circumstances.

3. CLASS A TRAINER'S LICENCE

The Class A Trainer's Licence is granted to a trainer who offers Thoroughbred training services as their primary profession.

A Class A Trainer may also apply to NZTR to train in partnership with one or more other Class A Trainers. In these circumstances, NZTR may grant permission and impose such conditions as it sees fit.

3.1. Requirements for the Granting of a Class A Trainer Licence

To be granted a Class A Trainer's Licence, an applicant must:

- (a) complete the Class A Trainer's Licence application Form, together with payment of the prescribed licence fee;
- (b) own or occupy Trainer's Premises that are compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards and have been approved by NZTR;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Class A Trainer's Licence;
- (d) meet the Training Experience and Criteria requirements outlined at 3.2 and 3.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 3.4 below.

3.2. Training (and/or Industry) Experience

An applicant must:

- (a) hold, or previously have held, a Class A Trainer's Licence, or an international equivalent licence as assessed by NZTR, for a minimum of one (1) year, and been licensed as such within five (5) years of the date that any completed application is submitted to NZTR; or
- (b) hold, or previously have held, a Class A Rider's Licence (Jockey's Licence), or an international metropolitan jockey licence or its equivalent as assessed by NZTR, for a minimum of two (2) years, and been licensed as such within five (5) years of the date that any completed application is submitted to NZTR; or
- (c) hold, or previously have held, a Class B Trainer's Licence, or an international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such within five (5) years from the date that any completely application is submitted to NZTR; or
- (d) previously have held, a Class C Trainer's Licence, or an international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such within (5) years from the date that any completed application is submitted to NZTR; or
- (e) hold, or previously have held, an Assistant Trainer's Licence, or an international equivalent as assessed by NZTR, for a minimum of two (2) years, and been licensed as such within (5) years from the date that any completed application is submitted to NZTR; or

- (f) hold, or previously have held, a Class A Miscellaneous Licence (Stablehand's Licence) or a Class D Miscellaneous Licence (Stable Foreman's Licence), or an international equivalent as assessed by NZTR, for a minimum of four (4) years, and been licensed as such no later than one (1) year from the date that any completed application is submitted to NZTR, and have worked in full-time employment during that time; or
- (g) have other verifiable relevant experience, which NZTR considers, in its absolute discretion, to be equivalent to one or more of the above criteria.

3.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Class A Trainer's Licence:

(a) *Qualification:*

Previously a Class A Trainer or Jockey or equivalent

- (i) If applying under 3.2 (a) or (b) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 4 Trainer** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.

Previously a Class B or Class C Trainer or equivalent

- (ii) If applying under 3.2 (c) or (d) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 4 Trainer** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(ii)(a) above.

Previously an Assistant Trainer or equivalent

- (iii) If applying under 3.2(e) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 4 Trainer** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(iii)(a) above.

Previously a Stablehand or equivalent

- (iv) If applying under 3.2 (f) as a licensed or previously licensed Stablehand or Stable Foreman, the applicant must:

- (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 4 Trainer** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
- (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(iv)(a) above.

Other Recognised Experience

- (v) If applying under clause 3.2(g) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 4 Trainer** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(v)(a) above.
- (b) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR);
 - (iii) Trainer’s Premises Inspection; and
 - (iv) Training Record.
- (c) *Approval to Train at Club/Venue:* The applicant must provide confirmation of approval from a race club/s or Training Facility where the applicant will conduct his or her trackwork (not required if training from the applicant’s Trainer’s Premises).
- (d) *Business Proposal:* The applicant must submit a detailed business proposal outlining proposed horse numbers, costs and anticipated income, as well as any external financial resources to support the training business for the first twelve (12) months of operation. NZTR will, if necessary, conduct background credit checks through relevant agencies, as per the consent given by the applicant on the application form.

The applicant’s proposal must satisfy NZTR that the applicant either has, or has access to, appropriate business skills and acumen to carry on business as a Class A Trainer.
- (e) *Interview:* The applicant must complete an induction and a satisfactory interview with NZTR or RIB. The interview may include a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and Rules of Racing.

3.4. Conditions Imposed on All Class A Trainer’s Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Class A Trainer’s Licence, the conditions set out below will apply:

- (a) *Trainer’s Premises must be approved:*

- (i) The Trainer's Premises and any other location used by the Trainer in connection with their training business must, at all times, be compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards.
 - (ii) Where the Trainer has applied and been granted permission by NZTR to house, train, and care for registered Thoroughbred horses at more than one Trainer's Premises, or to train or work horses from more than one Training Facility, NZTR may impose additional conditions as it sees fit. In these circumstances, for all the purposes of the Rules, the horses shall be deemed to be trained by the Trainer and no objection shall be taken to any such horse on the grounds that while it was in training in one or more of the Trainer's approved Trainer's Premises or training facilities it was not under their direct charge or care.
- (b) *Access to Trainer's Premises:* The Trainer shall, when required to do so by a Stipendiary Steward and / or Investigator, permit that Stipendiary Steward and/ or Investigator as well as any further Stipendiary Stewards or Investigators to have reasonable access to the Trainer's Premises for the purposes of:
- (i) requiring any Licenceholder to permit a sample to be obtained by them pursuant to the Rules;
 - (ii) ensuring non-licensed persons are not involved in the care, control, or training of a horse;
 - (iii) ensuring compliance with the Rules;
 - (iv) ensuring any relevant Trainer's Licence conditions are being observed; and
 - (v) ensuring only a Rider, or a holder of a Licence permitting the person to ride a horse, is riding a horse.
- (c) *Use of training venues:* The Trainer is permitted to conduct trackwork only at race clubs/venues where the Trainer has the permission of the club/venue.
- (d) *Jump-outs, official trials and races:* The Trainer may enter horses for:
- (i) Jump-outs (subject to individual club approval if they are restricted to local trainers only);
 - (ii) Official Trials; and
 - (iii) Races.
- (e) *Minimum runners:* Class A Trainers must train Thoroughbred horses which participate in at least 50 Race day starts in New Zealand per Racing Year. Where a Class A Trainer does not train Thoroughbred horses which participate in 50 Races in New Zealand per Racing Year, NZTR may require that the trainer applies for a Class B Trainer Licence. Licenceholders who hold a Class A Trainer's Licence as at 1 February 2024, will be entitled to continue to hold a Class A Trainer's Licence in the future irrespective of the number of Races in which horses trained by them in a Racing Year provided they otherwise meet the criteria under the Rules of Racing and this Policy for holding a Class A Trainer's Licence.

- (f) *Training Partnerships:* Where a Class A Trainer has applied for, and been granted, permission to train in a Training Partnership with one or more other Class A Trainers:
- (i) NZTR may impose such conditions on the Training Partnership as it sees fit; and
 - (ii) A Training Partnership may not comprise more than three (3) individual Licensed Trainers.
 - (iii) where any of the parties to the Training Partnership no longer wish to train in partnership, they must all apply to NZTR to have the condition of training in partnership with such other trainer(s) removed from their respective Licence, and such application will result in NZTR reviewing each party's Trainer's Licence, which may result in the imposition of further conditions on an individual Trainer's Licence, or withdrawal, cancellation or suspension of an individual Trainer's Licence.
- (g) *Continuing Professional Development Policy:*
- (i) As outlined in section 2.5, to be eligible for renewal of the licence, the Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.
 - (ii) If the Class A Trainer is the employer of an Apprentice Jockey or a Probationer, the Class A Trainer must complete any CPD required for such employment.

4. CLASS B TRAINER LICENCE

The Class B Trainer's Licence is granted to a trainer offers Thoroughbred training services and whose horses do not consistently have more than 50 Race day starts per year.

A Class B Trainer may also apply to NZTR to train in partnership with one or more other Class B Trainers. In these circumstances, NZTR may grant permission and impose such conditions as it sees fit.

4.1. Requirements for the Granting of a Class B Trainer's Licence

To be granted a Class B Trainer's Licence, an applicant must:

- (a) complete the Class B Trainer's Licence Application Form, together with payment of the prescribed licence fee;
- (b) own or occupy Trainer's Premises that are compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards and have been approved by NZTR;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Class B Trainer Licence;
- (d) meet the Training Experience and Criteria requirements outlined at 4.2 and 4.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 4.4 below.

4.2. Training (and/or Industry) Experience

An applicant must:

- (a) hold, or previously have held, any of the following licences or any of their international equivalents as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no later than five (5) years from the date that any completed application is submitted to NZTR:

- (i) a Class A Trainer's Licence;
 - (ii) a Class A Rider's Licence (Jockey's Licence);
 - (iii) a Class B Trainer's Licence;
 - (iv) a Class C Trainer's Licence; or
 - (v) an Assistant Trainer's Licence.
- (b) hold, or previously have held, a Class A Miscellaneous Licence (Stablehand's Licence), or a Class D Miscellaneous Licence (Stable Foreman Licence) or an international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such no later than one (1) year from the date that any completed application is submitted to NZTR; or
- (c) have other verifiable relevant experience, which NZTR considers, in its absolute discretion, to be equivalent to one or more of the above criteria.

4.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Class B Trainer Licence:

(a) *Qualification:*

Previously a Trainer or Jockey

- (i) If applying under 4.2 (a) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 3** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.

Previously a Stablehand

- (ii) If applying under 4.2(b) as a licensed or previously licensed Stablehand, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 3** qualification (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(ii)(a) above.

Other Recognised Experience

- (iii) If applying under clause 4.2(c) above, the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine Racing - Level 3** qualification (or other similar accredited racing industry

Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or

- (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(iii)(a) above.

- (b) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR);
 - (ii) Trainer's Premise Inspection; and
 - (iii) Training Record.
- (c) *Approval to Train at Club/Venue:* The applicant must provide confirmation of approval from a race club/s or Training Facility where the applicant will conduct his or her trackwork (not required if training from the applicant's Trainer's Premises).
- (d) *Interview:* The applicant must complete a satisfactory interview with NZTR or RIB. The interview may include a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and Rules of Racing.

4.4. Conditions Imposed on Class B Trainer's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Class B Trainer's Licence, the conditions set out below will apply:

- (a) *Trainer's Premises must be approved:*
 - (i) The Trainer's Premises and any other location used by the Trainer in connection with their training business must, at all times, be compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards.
 - (ii) Where the Trainer has applied and been granted permission by NZTR to house, train, and care for registered Thoroughbred horses at more than one Trainer's Premises, or to train or work horses from more than one Training Facility, NZTR may impose additional conditions as it sees fit. In these circumstances, for all the purposes of the Rules, the horses shall be deemed to be trained by the Trainer and no objection shall be taken to any such horse on the grounds that while it was in training in one or more of the Trainer's approved Trainer's Premises or training facilities it was not under their direct charge or care.
- (b) *Access to Class B Trainer's Premises:* The Class B Trainer shall, when required to do so by a Stipendiary Steward and / or Investigator, permit that Stipendiary Steward and / or Investigator as well as any further Stipendiary Stewards or Investigators to have reasonable access to the Trainer's Premises for the purposes of:
 - (i) requiring a Licenceholder to permit a sample to be obtained by them pursuant to the Rules;

- (ii) ensuring non-licensed persons are not involved in the care, control, or training of a horse;
 - (iii) ensuring compliance with the Rules;
 - (iv) ensuring any relevant Class B Trainer's Licence conditions are being observed; and
 - (v) ensuring only a Rider, or a holder of a Licence permitting the person to ride a registered Thoroughbred, is riding a horse.
- (c) *Use of training venues:* The Class B Trainer is permitted to conduct trackwork only at race clubs/venues where the Trainer has the permission of the club/venue.
- (d) *Jump-outs, official trials and races:* The Class B Trainer may enter horses for:
- (i) Jump-outs (subject to individual club approval if they are restricted to local trainers only);
 - (ii) Official Trials; and
 - (iii) Races.
- (e) *Maximum number of starters per year:* A Class B Trainer's Licence holder should only train Thoroughbred horses which participate in a maximum of 50 Race day starts per Racing Year. Where a Class B Trainer trains Thoroughbred horses which participate in more than 50 Races per Racing Year, NZTR may require that the Trainer applies for a Class A Trainer's Licence.
- (f) *Training Partnerships:* Where a Class B Trainer has applied for, and been granted, permission to train in a Training Partnership with one or more other Class B Trainers:
- (i) NZTR may impose such conditions on the Training Partnership as it sees fit; and
 - (ii) A Training Partnership may not comprise more than three (3) individual Licensed Trainers.
 - (iii) where any of the parties to the Training Partnership no longer wish to train in partnership, they must all apply to NZTR to have the condition of training in partnership with such other trainer(s) removed from their respective Licence, and such application will result in NZTR reviewing each party's Trainer's Licence, which may result in the imposition of further conditions on an individual Trainer's Licence, or withdrawal, cancellation or suspension of an individual Trainer's Licence.
- (g) *Continuing Professional Development Policy:* As outlined in section 2.5, to be eligible for renewal of the Licence, the Class B Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.

5. PRE-TRAINER'S LICENCE

The Pre-Trainer's Licence is granted to a person who prepares and educates Thoroughbred horses for the purpose of sending the horses to a Class A or Class B Trainer or an international equivalent, to be trained to Race or Trial.

5.1. Requirements for the Granting of a Pre-Trainer's Licence

To be granted a Pre-Trainer's Licence, an applicant must:

- (a) complete the Pre-Trainer's Licence Application Form, together with payment of the fee prescribed by NZTR;
- (b) own or occupy Trainer's Premises that are compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards and have been approved by NZTR;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Pre-Trainer licence;
- (d) meet the Training Experience and Criteria requirements outlined at 5.2 and 5.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 5.4 below.

5.2. Training (and/or Industry) Experience

An applicant must:

- (a) hold, or previously have held, any of the following licences or any of their international licence equivalents as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no later than five (10) years from the date that any completed application is submitted to NZTR:
 - (i) a Class A Trainer's Licence;
 - (ii) a Class A Rider's Licence (Jockey's Licence);
 - (iii) a Class B Trainer Licence;
 - (iv) a Class C Trainer Licence;
 - (v) an Assistant Trainer Licence; or
 - (vi) a Class A miscellaneous Licence (Stablehand's Licence) or a Class D Miscellaneous Licence (Stable Foreman's Licence).
- (b) have verifiable relevant experience, which NZTR considers, in its absolute discretion, to be appropriate for the granting of a Pre-Trainer's Licence.

5.3. Criteria

NZTR will assess the following criteria to determine whether to grant a Pre-Trainer's Licence:

- (a) *Qualification:*
 - (i) the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine - Level 2 (Introduction to the Equine Industry) qualification** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.
- (b) *Relevant Information:* The following information will be taken into consideration:

- (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR); and
 - (iii) Trainer's Premise Inspection;
- (c) *Approval to Train at Club/Venue:* The applicant must provide confirmation of approval from a race club/s or Training Facility where the applicant will conduct his or her trackwork (not required if training from the applicant's Trainer's Premises).
- (d) *Interview:* The applicant must complete a satisfactory interview with NZTR or RIB. The interview may include a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and Rules of Racing.

5.4. Conditions Imposed on all Pre-Trainer's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Pre-Trainer's Licence, the conditions set out below will apply:

- (a) *Pre-Trainer's Premises must be approved:* The Pre-Trainer's Premises and any other location used by the Trainer in connection with their training business must at all times, be compliant with the NZTR Thoroughbred Welfare Detailed Assessment Standards.
- (b) *Access to Pre-Trainer's Premises:* The Pre-Trainer shall, when required to do so by a Stipendiary Steward and / or Investigator, permit that Stipendiary Steward and / or Investigator as well as any further Stipendiary Stewards or Investigators to have reasonable access to the Pre-Trainer's Premises for the purposes of:
 - (i) requiring any Licenceholder to permit a sample to be obtained by them pursuant to the Rules;
 - (ii) ensuring non-licensed persons are not involved in the care, control, or training of a horse;
 - (iii) ensuring compliance with the Rules;
 - (iv) ensuring any relevant Pre-Trainer's Licence conditions are being observed; and
 - (v) ensuring only a Rider, or a holder of a Licence permitting the person to ride a horse, is riding a horse.
- (c) *Use of training venues:* A Pre-Trainer is only permitted to conduct trackwork at a race club/venue if the Pre-Trainer has the permission of the club/venue.
- (d) *Official trials and races:* The Pre-Trainer must not enter horses for Races or official trials.
- (e) *Jump-outs:* The Pre-Trainer may enter horses for jump-outs.
- (f) *Continuing Professional Development Policy:* As outlined in section 2.5, to be eligible for renewal of the licence, the Pre-Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.

6. ASSISTANT TRAINER'S LICENCE

The Assistant Trainer licence is granted to persons who are employed on a full-time basis by a Class A Trainer, to assist the Trainer with the responsibilities of training horses and managing the racehorse training business.

6.1. Requirements for the Granting of an Assistant Trainer's Licence

To be granted an Assistant Trainer's Licence, an applicant must:

- (a) complete the Assistant Trainer's Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) be employed as an Assistant Trainer by a Class A Trainer.
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Assistant Trainer's Licence;
- (d) meet the Training Experience and Criteria requirements outlined at 6.2 and 6.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 6.4 below.

6.2. Training (and/or Industry) Experience

An applicant must:

- (a) hold, or previously have held, any of the following licences or any of their international licence equivalents as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no later than five (5) years from the date that any completed application is submitted to NZTR:
 - (i) a Class A Trainer's Licence;
 - (ii) a Class A Rider's Licence (Jockey's Licence);
 - (iii) a Class B Trainer's Licence;
 - (iv) a Class C Trainer Licence;
 - (v) a Class A miscellaneous Licence (Stablehand's Licence);
 - (vi) A Trackwork Rider Licence.

6.3. Criteria

NZTR will assess the following criteria to determine whether to grant an Assistant Trainer's Licence:

- (a) *Qualification:*
 - (i) the applicant must:
 - (A) have completed or be enrolled and commenced the **NZ Certificate in Equine - Level 3 qualification** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
 - (B) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.
- (b) *Stable Experience:* An applicant must:

- (i) be employed by a Trainer on a full-time basis and must have been appointed by that Trainer to be his or her assistant; and
 - (ii) undertake compulsory recognised training as a condition of being granted an Assistant Trainer's Licence
- (c) *Relevant Information:* The following information will be taken into consideration
- (i) Racing Disciplinary History Assessment ; and
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR).

6.4. Conditions Imposed on All Assistant Trainer's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of an Assistant Trainer Trainer's Licence, the conditions set out below will apply:

- (a) *Employment:* If the Assistant Trainer leaves the employment of a Trainer, his or her Assistant Trainer licence will automatically lapse. A new Assistant Trainer's Licence must be applied for when a person is employed as an Assistant Trainer by different Trainer.
- (b) *Continuing Professional Development Policy:* As per section 2.5, to be eligible for renewal of the licence, the Assistant Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.
- (c) *Authority:* An Assistant Trainer may only nominate, withdraw or scratch horses, declare riders, notify the retirement or deaths of horses or submit stable returns, if given the authority, in writing, to do so by the trainer for whom they are employed.

7. STABLEHAND'S LICENCE

The Stablehand's Licence is granted to a person who is employed on a full-time basis by a Trainer to work in a racing stable, training establishment, or other facility.

A Stablehand is employed predominantly to care for horses and assist as required with feeding, grooming, exercising and transporting the horses for training, trialling, and racing.

7.1. Requirements for the Granting of a Stablehand's Licence

To be granted a Stablehand's Licence, an applicant must:

- (a) be a minimum of 15 years of age;
- (b) complete the Stablehand Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (c) be employed as a Stablehand by a Licensed Trainer.
- (d) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Stablehand's Licence;
- (e) meet the Training Experience and Criteria requirements outlined at 7.2 and 7.3 below; and
- (f) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 7.4 below.

7.2. Stable Experience

An applicant must:

- (a) undertake recognised training as a condition of being granted a Stablehand Licence to work effectively and safely in the stable environment.

7.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Stablehand's Licence:

- (a) *Qualification*: The applicant must have completed or be enrolled and commenced the **NZ Certificate in Equine Level 2 (Introduction into the Equine Industry) qualification**.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (for applicants 18 years and over); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).

7.4. Conditions Imposed on All Stablehand's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Stablehand's Licence, the conditions set out below will apply:

- (a) *Employment*: In the event that the Stablehand wishes to transfer employment to another NZTR Licensed Trainer, the Stablehand must advise NZTR.
- (b) *Continuing Professional Development Policy*: As per section 2.4, to be eligible for renewal of the licence, the Stablehand must meet the requirements of NZTR's CPD Policy for the relevant licence type.

8. STABLE ASSISTANT'S LICENCE

A Stable Assistant's Licence is granted to persons who

- (a) are employed on a part-time basis by a Trainer to work in a racing stable, Trainer's Premises, Training Facility, or other facility; or
- (b) undertakes voluntary work in a racing stable, Trainer's Premises, Training Facility, or other facility; or
- (c) are employed by a Trainer on the day of a Race to provide assistance with the handling of horses; or
- (d) volunteers to assist a Trainer on the day of a Race with the handling of horses.

8.1. Requirements for the Granting of a Stable Assistant's Licence

To be granted a Stable Assistant's Licence, an applicant must:

- (a) complete the Stable Assistant's Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) be employed or appointed as a Stable Assistant by a Licensed Trainer who is permitted under the Rules to employ Stable Assistants;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Stable Assistant's Licence;
- (d) meet the Training Experience and Criteria requirements outlined at 8.2 and 8.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 8.4 below.

8.2. Racing/Stable Experience

An applicant must:

- (a) undertake compulsory recognised training as a condition of being granted a Stable Assistant Licence to work effectively and safely in the racing/stable environment.

8.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Stable Assistant's Licence:

- (a) *Qualification*: The Applicant must have completed or be in the process of completing any courses, learning modules or micro-credentials as are required by NZTR for the Stable Assistant's Licence.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment; and
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (for applicants 18 years and over); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).

8.4. Conditions Imposed on All Stable Assistant's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Stable Assistant's Licence, the conditions set out below will apply:

- (a) *Continuing Professional Development Policy*: As per section 2.5, to be eligible for renewal of the licence, the Stable Assistant must meet the requirements of NZTR's CPD Policy for the relevant licence type.

9. TRACKWORK RIDER (SLOW) LICENCE

The Trackwork Rider (Slow) Licence is granted to a person who has been assessed as competent to ride a Thoroughbred horse at up to half pace in general trackwork and training, excluding jump-outs.

9.1. Requirements for the Granting of a Slow Trackwork Rider's Licence

To be granted a Trackwork Rider (Slow) Licence, an applicant must:

- (a) complete the Trackwork Rider (Slow) Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Trackwork Rider (Slow) Licence;
- (c) meet the Experience and Criteria requirements outlined 9.2 and 9.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 9.4 below.

9.2. Experience

An applicant must:

- (a) undertake compulsory recognised training as a condition of being granted a Trackwork Rider (Slow) Licence to work effectively and safely in the stable environment.

9.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Trackwork Rider (Slow) Licence:

- (a) *Qualification*: The applicant must:
 - (i) have successfully completed the online knowledge assessment; and have successfully completed the NZTR online knowledge assessment; and
 - (ii) have completed the limited credit programme NZQA papers (1629 & 29173), and
 - (iii) have successfully completed the practical assessment.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (if over 18 years of age); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Interview and Test*: The applicant may also be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, the NZTR Code of Conduct, and Rules of Racing.
- (d) *Medical and Fitness*: The applicant must:
 - (i) have been declared medically fit to ride horses in trackwork by a General Practitioner within the previous twelve (12) months;
 - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

9.4. Conditions Imposed on All Trackwork Rider (Slow) Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Trackwork Rider (Slow) Licence, the conditions set out below will apply:

- (a) *Comply with the Conditions of the Licence:* A holder of a Trackwork Rider (Slow) Licence must not ride in jump-outs, or ride any pace work above half-pace except if undergoing supervised training to upgrade to a Trackwork Rider (Fast) Licence.
- (b) *Permission to Ride:* The Trackwork Rider (Slow) Licence entitles the Rider to ride registered horses at a Racecourse, Training Facility or Trainer's Premises, provided that the speed of the horse does not exceed half pace.
- (c) *Continuing Professional Development Policy:* As per section 2.5, to be eligible for renewal of the Licence, the Trackwork Rider (Slow) must meet the requirements of NZTR's CPD Policy for the relevant licence type.
- (d) *Independent Contractor:* Where a Trackwork Rider (Slow) is an independent contractor, the Trackwork Rider (Slow) may only ride registered Thoroughbred horses for a Trainer if there is a written contract between the Trackwork Rider (Slow) and the Trainer and, where the Trackwork Rider (Slow) is being remunerated for their services, invoices must be issued for all services provided and receipts issued for all monies received.

10. TRACKWORK RIDER (FAST) LICENCE

The Trackwork Rider (Fast) Licence is granted to a person who has been assessed as competent to ride a Thoroughbred horse at full pace in trackwork, training and jump-outs.

10.1. Requirements for the Granting of a Trackwork Rider (Fast) Licence

To be granted a Trackwork Rider (Fast) Licence, an applicant must:

- (a) complete the Trackwork Rider (Fast) Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Trackwork Rider (Fast) Licence;
- (c) meet the Experience and Criteria requirements outlined at 10.2 and 10.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 10.4 below.

10.2. Experience

An applicant must:

- (a) hold, or previously have held, a Class A Rider's Licence, Class B rider's Licence, Trainer Licence or Class D Rider's Licence, Class B miscellaneous Licence, or an international equivalent as assessed by NZTR; or
- (b) hold, or previously have held, a Trackwork Rider (Slow) Licence, or an international equivalent, for a minimum of 1 month as assessed by NZTR; and
- (c) have been assessed as competent to ride a Thoroughbred horse at full pace in trackwork, training and jump-outs by a panel consisting of a Class A Trainer and a Jockey or a Trackwork Rider (Fast) who has at least 2 years' experience.

10.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Trackwork Rider (Fast) Licence:

- (a) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (if over 18 years of age); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (b) *Interview and Test:* The applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, the NZTR Code of Conduct, and Rules of Racing.
- (c) *Riding Test:* The applicant may be required to undertake a riding test and assessment to the satisfaction of a Riding Panel appointed by NZTR to demonstrate the Applicant's competence. The Panel may impose any further practical riding test assessments it sees fit.
- (d) *Medical and Fitness:* The applicant must:
 - (i) have been declared medically fit to ride in trackwork and jump-outs by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months; and
 - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

10.4. Conditions Imposed on All Trackwork Rider (Fast) Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Trackwork Rider (Fast) Licence, the conditions set out below will apply:

- (a) *Permission to Ride:* The Trackwork Rider (Fast) Licence entitles the Rider to ride registered horses at a Racecourse, Training Facility or Trainer's Premises, including in jump-outs.
- (b) *Continuing Professional Development Policy:* As per section 2.5, to be eligible for renewal of the Licence, the Trackwork Rider (Fast) must meet the requirements of NZTR's CPD Policy for the relevant licence type.
- (c) *Independent Contractor:* Where a Trackwork Rider (Fast) is an independent contractor, the Trackwork Rider (Fast) may only ride registered Thoroughbred horses for a Trainer if there is a written contract between the Trackwork Rider (Fast) and the Trainer and, where the Trackwork Rider (Fast) is being remunerated for their services, invoices must be issued for all services provided and receipts issued for all monies received.

11. CLASS D RIDER'S LICENCE (JUMPS RIDER'S LICENCE)

The Class D Rider's Licence is granted to a person who has been assessed as competent to ride a Thoroughbred horse in Jumping Races. A Licensed Jumps Rider has no restrictions or limitations as to where they can ride in Jumping Races, unless otherwise specified as a condition of licence or in conditions of specific races.

11.1. Requirements for the Granting of a Class D Rider's Licence

To be granted a Class D Rider's Licence, an applicant must:

- (a) complete the Class D Rider's Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Jumps Rider's Licence;
- (c) meet the Experience and Criteria requirements outlined at 11.2 and 11.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 11.4 below.

11.2. Experience

An applicant must:

- (a) hold, or previously have held, a Class D Rider's Licence, or an international equivalent as assessed by NZTR; or
- (b) previously have held a Class E Rider's Licence, or an international equivalent as assessed by NZTR; or
- (c) hold, or previously have held, a Class A Rider's Licence, or an international equivalent as assessed by NZTR; or
- (d) have completed a Jockey Apprenticeship and previously held, a Class B rider's Licence, or an international equivalent as assessed by NZTR.

11.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Class D Rider's Licence:

- (a) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment; and
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR).
- (b) *Interview and Test:* The applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, NZTR Code of Conduct, and Rules of Racing.
- (c) *Riding Test:* An applicant may be required to undertake a riding assessment to the satisfaction of a Panel appointed by NZTR:
- (d) *Medical and Fitness:* The applicant must:
 - (i) have been declared medically fit to ride in jumps races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
 - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months
 - (iii) pass a fitness test with an 70% pass rate (details of fitness test and assessment as per Schedule 1);

- (iv) at the time of application, present at a body weight of no more than the minimum top weight allotted in standard handicap races (at acceptance time) for race meetings.

11.4. Conditions Imposed on All Class D Rider's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Class D Rider's Licence, the conditions set out below will apply:

- (a) *Permission to Ride:* The Class D Rider's Licence entitles the holder to ride trackwork, and in jump-outs (flat and jumps), Official Trials (flat and jumps), Jumping Races and Highweight Races, unless otherwise precluded in accordance with the type of race or the conditions of the race.
- (b) *Minimum Riding Requirements:* Unless in circumstances deemed appropriate by NZTR, in its absolute discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Jumps Rider must have achieved the following each season:
 - (i) Five [5] Jumping Race rides in New Zealand;
- (c) *Riding Disciplinary Record:* If the Jumps Rider rides in a manner which causes concern to the Stipendiary Stewards, he or she will be referred to Rider Skills Review Panel.
- (d) *Continuing Professional Development Policy:* As per section 2.4, to be eligible for renewal of the Licence, the Jumps Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.

12. CLASS E RIDER'S LICENCE (AMATEUR RIDER'S LICENCE)

The Class E Rider's Licence is granted to a person who has been assessed as competent to ride a Thoroughbred horse in amateur races.

12.1. Requirements for the Granting of a Class E Rider's Licence

To be granted a Class E Rider's Licence, an applicant must:

- (a) complete the Class E Rider's Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Amateur Rider Licence;
- (c) meet the Experience and Criteria requirements outlined at 12.2 and 12.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 12.4 below.

12.2. Experience

An applicant must:

- (a) hold, or previously have held:
 - (i) Subject to sub-clauses (b) and (c) below, a Class A, B or D Rider's Licence;

- (ii) a Class E Rider's Licence, or an international equivalent as assessed by NZTR, for a minimum of three (3) months;
 - (iii) a Class B miscellaneous Licence, or an international equivalent as assessed by NZTR, for a minimum period of two (2) years; and
 - (iv) have undergone an induction day at the races to the satisfaction of NZTR.
- (b) not have received in the previous five years any money or valuable consideration for riding in a Race, other than a trophy given under the conditions of the Race; and
 - (c) if having ridden professionally at any stage previously, not have ridden more than one hundred (100) flat winners at race meetings conducted by registered race clubs either in New Zealand or internationally.

12.3. Criteria

In assessing whether an applicant is suitable to be granted a Class E Rider's Licence, NZTR will take into account the following criteria:

- (a) *Qualification*: The applicant must, as a minimum, have completed or be enrolled and commenced a **NZ Certificate in Equine - Level 2 (Introduction into Equine Skills)** qualification.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (if 18 years of age); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Interview and Test*: The applicant may also be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and Rules of Racing.
- (d) *Riding Test*: An applicant may be required to undertake a riding assessment to the satisfaction of a Panel appointed by NZTR.
- (e) *Riding Disciplinary Record*: If rides in a manner which causes concern to the Stipendiary Stewards, will be referred to Rider Skills Review Panel.
- (f) *Medical and Fitness*: The applicant must:
 - (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
 - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

12.4. Conditions Imposed on All Class E Rider's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Class E Rider's Licence, the conditions set out below will apply:

- (a) *No Remuneration:* A Rider holding a Class E Rider's Licence shall not ask for, solicit, accept, or receive any remuneration, monetary consideration, or reward (other than a trophy given under the conditions of the Race to a Rider of a horse) for riding in any Race, and no person shall offer or give any such remuneration, monetary consideration, or reward to such a Rider.
- (b) *Permission to Ride:* The Class E Rider's Licence entitles the Rider to ride trackwork, and in jump-outs and Amateur Races, unless otherwise precluded in accordance with the type of race or the conditions of the race. A Rider holding a Class E Rider's Licence may only ride in a Trial with the permission of the Stipendiary Stewards.
- (c) *Continuing Professional Development Policy:* As per section 2.5, to be eligible for renewal of the Licence, the Amateur Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.
- (d) *Minimum Riding Requirements:* Unless in circumstances deemed appropriate by NZTR, in its discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Amateur Rider must have achieved the following each season:
 - (i) One amateur race ride in New Zealand.

13. CLASS C RIDER'S LICENCE (PROBATIONER'S LICENCE)

The Class C Rider's Licence is granted to a person who aspires to become a jockey and who has been assessed as competent to ride Thoroughbreds in trackwork, for the purpose of enabling NZTR to assess whether the person is suitable to become an Apprentice Jockey.

13.1. Requirements for the Granting of a Class B Rider's Licence

To be granted a Class C Rider's Licence, an applicant must:

- (a) complete the Class C Rider's Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Class C Rider Licence;
- (c) meet the Experience and Criteria requirements outlined at 13.2 and 13.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 13.4 below.

13.2. Experience

An applicant must:

- (a) hold, or previously have held, a Class B miscellaneous Licence or an international equivalent as assessed by NZTR, for a minimum of three (3) months, and been licensed as such no later than six (6) months from the date that any completed application is submitted to NZTR.

13.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Class C miscellaneous Licence:

- (a) *Qualification:* The applicant must either have completed or be enrolled and commenced NZ **Certificate in Equine - Level 2 (Introduction into Equine Skills)** qualification.

- (b) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (if 18 years of age); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Approved Apprenticeship:* The applicant must provide details of a Class A Trainer, who is deemed by NZTR to be a potential approved employer of apprentices and who complies with the regulations and obligations of a Probationer employer, who has agreed to engage the applicant if granted a Class C Rider's Licence.
- (d) *Interview and Riding Assessment:* The applicant must:
 - (i) attend an interview with the NZTR Regional Probationer Licensing Panel;
 - (ii) complete a riding test on the riding simulator to the satisfaction of the NZTR Regional Riding Mentor;
 - (iii) complete a trackwork assessment to the satisfaction of the NZTR Regional Riding Mentor;
 - (iv) participate in three (3) jump-outs to the satisfaction of the NZTR Regional Riding Mentor or a Stipendiary Steward; and
 - (v) complete the formal induction course conducted by NZTR and be assessed suitable to hold a Class C Rider's Licence.
- (e) *Medical and Fitness:* The applicant must:
 - (i) be declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor;
 - (ii) have completed a cognitive baseline concussion test;
 - (iii) pass a fitness test with a 70% pass rate (details of fitness test and assessment as per Schedule 1);
 - (iv) be within the maximum weight category of 54kg. If the applicant is above this weight, NZTR may require the applicant to undertake a realistic plan to reach this weight within the timeframe of the probation period.

13.4. Conditions Imposed on Class C Rider's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Class C Rider's Licence, the conditions set out below will apply:

- (a) *Term of Licence:* The term of the Class C Rider's Licence is to be a minimum of five (5) months and a maximum of 12 months. Notwithstanding, the period of probation may be altered by NZTR, in its discretion, as follows:

- (i) in the event of injury, sickness or other extraordinary circumstances, the period of probation may be extended; or
 - (ii) in exceptional circumstances, where the Probationer has previous experience in an international jurisdiction and is assessed by the NZTR Regional Riding Mentor to be wholly competent, the period of probation may be reduced to a minimum of three (3) months.
- (b) *Employment:* Be employed by a Class A Trainer, or an Apprentice Training Facility which has been approved as an employer of Apprentices by NZTR, on a full-time basis by his or her employer as a Probationer.
- (c) *Riding Permissions:* The Probationer is licensed to ride trackwork and in jump-outs. The Probationer may ride in Official Trials once an NZTR Riding Panel has assessed them as competent to do so.
- (d) *Engagement and Training:* The Probationer must:
- (i) engage in receiving tuition and advice with the NZTR Riding Master at Official Trials; and
 - (ii) attend all Apprentice Academy scheduled learning sessions or must have received permission from the NZTR Riding Mentor where a class was missed; and
 - (iii) have attended a minimum of five (5) induction days with the NZTR Regional Riding Mentor and an appointed senior Jockey at designated race meetings; and
 - (iv) show a willingness and motivation to learn.

14. CLASS B RIDER'S LICENCE (APPRENTICE JOCKEY'S LICENCE)

The Class B Rider's Licence is issued to a person who aspires to become a jockey, have been assessed as suitable to enter NZTR's Apprentice Jockey programme, and who are actively progressing through a prescribed training programme towards qualification as a jockey.

14.1. Requirements for the Granting of a Class B Rider's Licence

To be granted a Class B Rider's Licence, an applicant must:

- (a) complete the Class B Rider's Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Class B Rider's Licence;
- (c) meet the Experience and Criteria requirements outlined at 14.2 and 14.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 14.4 below.

14.2. Experience

An applicant must:

- (a) hold, or previously have held, a Class B Rider's Licence, or an international equivalent as assessed by NZTR, for a minimum of three (3) months, and been licensed as such no later than six (6) months from the date that any completed application is submitted to NZTR; or

- (b) hold, or previously have held, a Class C Rider's Licence, or an international equivalent as assessed by NZTR, for a minimum of five (5) months, and been licensed as such no later than six (6) months from the date that any completed application is submitted to NZTR.

14.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Class B Rider's Licence:

- (a) *Qualification*: The applicant must:
 - (i) have completed the **NZ Certificate in Equine - Level 2 (Introduction into Equine Skills)** qualification; and
 - (ii) enrol and commence the **NZ Certificate in Equine Level 4 Jockey** qualification; and complete the work required to gain this qualification within the term of the apprenticeship.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment;
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR) (if 18 years of age); and
 - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Apprentice Agreement*: The applicant must provide to NZTR a fully executed copy of the NZTR Apprentice Agreement and a copy of their Individual Employment Contract.
- (d) *Interview and Test*: The applicant must:
 - (i) with, their employer, successfully undertaken an interview with the NZ Apprentice Licensing Panel;
 - (ii) have interviewed with NZTR and successfully undertaken a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct, and Rules of Racing.
- (e) *Riding Assessment*: The applicant must, once granted a pass to ride in Official Trials as a Probationer, have participated in a minimum of 30 Official Trial rides to the satisfaction of a Riding Mentor or a Stipendiary Steward. For the purposes of this requirement, where there are insufficient Official Trials in the region, participation in NZTR approved official jump-outs, at the sole discretion of the Riding Mentor or a Stipendiary Steward, may count towards 10 of the Trial ride requirements, in which case 2 satisfactory jump-outs will count towards 1 Trial ride.
- (f) *Medical and Fitness*: The applicant must:
 - (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
 - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months;

- (iii) pass a fitness test with at least a 10% overall improvement on their previous score if the previous score was only 70% (details of fitness test and assessment as per **Schedule 1**);
- (iv) be within the maximum weight category of 54kg.

14.4. Conditions Imposed on Class B Rider's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of an Apprentice Licence, the conditions set out below will apply:

- (a) *Employer of Apprentices:* Only a Class A Trainer or an Apprentice Training Facility that has been approved as an employer of Apprentices by NZTR, may employ an Apprentice.
- (b) *Approval of Apprenticeships:* Every Apprenticeship must be approved by NZTR. NZTR may place any restrictions or conditions on the apprenticeship as it may deem fit.
- (c) *Agreement of Apprenticeship:* An Apprentice must be employed pursuant to an Agreement of Apprenticeship in accordance with the Apprentice Policy, and during the term of that particular Agreement of Apprenticeship the relevant Apprentice and Trainer, or an Apprentice Training Facility, or any person who is party to a transfer of the Apprentice (as applicable) must comply with the provisions of the Agreement of Apprenticeship and the Apprentice Policy.
- (d) *Term of Apprenticeship:* The term of the Apprenticeship entered into between the Apprentice Jockey and the Approved Employer is four (4) years in aggregate, which includes the period of the Probationer's Licence. NZTR may vary the term of the apprenticeship in its absolute discretion.
- (e) *Term of Licence:* The term of the Apprentice Jockey Licence is for one (1) year and is subject to annual renewal.
- (f) *Employment:* The Apprentice must be employed on a full-time basis by an approved Apprentice Employer who is a Class A Trainer at least 30 hours per week as an Apprentice by his or her employer.
- (g) *Permission to Ride:* The Apprentice Jockey is licensed to ride trackwork, and in jump-outs, Official Trials and Races, unless precluded by the conditions of a specific race.
- (h) *Minimum Riding Requirements:* Unless in circumstances deemed appropriate by NZTR, in its absolute discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Apprentice Jockey must have achieved the following each season:
 - (i) Where the Apprentice Licence has been held for a total of 12 months or less, 50 rides; and
 - (ii) Where the Apprentice licence has been held for more than 12 months and less than 24 months in total, 100 rides; and
 - (iii) Where the Apprentice licence has been held for more than 24 months in total, 150 rides.

- (i) *Riding Disciplinary Record*: If rides in a manner which causes concern to the Stipendiary Stewards, will be referred to Rider Skills Review Panel.
- (j) *Engagement and Training*: The Apprentice Jockey must:
 - (i) continue to engage in receiving tuition and advice with the NZTR Regional Riding Mentor;
 - (ii) attend all Apprentice Academy scheduled sessions or must have received permission from the NZTR Regional Riding Mentor.
 - (iii) continue to show a willingness and motivation to learn.

15. CLASS A RIDER'S LICENCE (JOCKEY'S LICENCE)

The Class A Rider's Licence is issued to a person who has completed a recognised Jockey Apprenticeship. A Licensed Jockey has no restrictions or limitations as to where they can ride in flat races, unless otherwise specified as a condition of the Licence or in conditions of specific races.

15.1. Requirements for the Granting of a Class A Rider's Licence

To be granted a Class A Rider's Licence, an applicant must:

- (a) have completed a recognised Jockey Apprenticeship;
- (b) complete the Class A Rider's Licence Application Form, together with payment of the annual licence fee prescribed by NZTR;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Jockey Licence;
- (d) meet the Experience and Criteria requirements outlined at 15.2 and 15.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 15.4 below.

15.2. Experience

An applicant must:

- (a) hold, or previously have held a Class A Rider's Licence, or an international equivalent as assessed by NZTR; or
- (b) have completed a recognised Jockey Apprenticeship and previously held, a Class B Rider's Licence, or an international equivalent as assessed by NZTR.

15.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Jockey Licence:

- (a) *Qualification*: The applicant must:
 - (i) have completed the **NZ Certificate in Equine Racing - Jockey - Level 4** qualification, or other similar accredited racing industry Equine Apprentice Jockey qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR; or

- (ii) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i) above.
- (b) *Relevant Information*: The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment; and
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR).
- (c) *Interview and Test*: The applicant may be required to interview with NZTR or the RIB and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures, the NZTR Code of Conduct and Rules of Racing.
- (d) *Riding Test (if applicable)*: If the applicant has not ridden in Races within the six months prior to their application being submitted to NZTR, the applicant may be required to undertake a riding assessment to the satisfaction of a Panel comprising the NZTR Regional Riding Mentor and a Stipendiary Steward.
- (e) *Medical and Fitness*: The applicant must:
 - (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
 - (ii) have a valid cognitive baseline concussion test.
 - (iii) be within the maximum weight category of 58.5kg.

15.4. Conditions Imposed on Class A Rider's Licences

In addition to any obligations and conditions as provided by the Rules, an applicant must agree and acknowledge that, following the grant of a Jockey Licence, the conditions set out below will apply:

- (a) *Permission to Ride*: The Class A Rider's Licence entitles the Jockey to ride trackwork, and in jump-outs, Official Trials and Races unless otherwise precluded in accordance with the type of race or the conditions of the race.
- (b) *Minimum Riding Requirements*: Unless in circumstances deemed appropriate by NZTR, in its absolute discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Jockey must have achieved the following each season:
 - (i) Twenty [20] race rides in New Zealand;

Riding Disciplinary Record: If a Jockey rides in a manner which causes concern to the Stipendiary Stewards, he or she will be referred to Rider Skills review Panel.
- (c) *Continuing Professional Development Policy*: As per section 2.5, to be eligible for renewal of the Licence, the Jockey must meet the requirements of NZTR's CPD Policy for the relevant licence type.

16. RIDER'S AGENT LICENCE

A Rider's Agent Licence is issued to a person who, by contract or other arrangement or agreement, assists a Jockey or the employer of an Apprentice Jockey in the organisation of their riding engagements.

16.1. Requirements for the Granting of a Rider's Agent Licence

To be granted a Rider's Agent Licence, an applicant must:

- (a) complete the Rider's Agent Licence Application Form, together with payment of the licence fee prescribed by NZTR;
- (b) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Rider's Agent licence;
- (c) meet the Experience and Criteria requirements outlined at 16.2 and 16.3 below; and
- (d) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 16.4 below.

16.2. Experience

An applicant must:

- (a) through the application, interview and assessment process, demonstrate to NZTR or RIB a basic knowledge of the racing industry and the functions of a Rider's Agent, together with a level of competence to be able to fulfil the obligations and functions of the role.

16.3. Criteria

NZTR will assess the following criteria to determine whether to grant an applicant a Rider's Agent Licence:

- (a) *Relevant Information:* The following information will be taken into consideration:
 - (i) Racing Disciplinary History Assessment; and
 - (ii) Declaration of criminal history (and a Criminal Record if requested by NZTR).
- (b) *Represented Riders:* The applicant must provide details, in the prescribed form, of the riders which he or she wishes to act as Agent for. The details to include copies of any contract or agreement between:
 - (i) such Jockeys and the Rider's Agent; and
 - (ii) In the case of an Apprentice Jockey, such Apprentice Jockey's employer and the Rider's Agent, in which case the employer shall be responsible for the fees payable to the Rider's Agent from the employer's percentage of the Apprentice Jockey's earnings.
- (c) *Interview and Test:* The applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, the NZTR Code of Conduct, and Rules of Racing.

16.4. Conditions Imposed on Rider's Agent Licences

- (a) *Represented Riders:* The Rider's Agent is only permitted to act for and represent those riders for which he or she has received approval from NZTR. In the event of any termination or

variation of any contract or agreement relating to a represented Rider, the Rider's Agent must notify NZTR promptly.

- (b) *Limitations:* The Rider's Agent must not:
 - (i) at any time, either directly or indirectly, provide riding or tactical race instructions or directions to a represented Rider or other Rider in respect of a race in which the represented Rider or Rider is engaged to ride, or is likely to be engaged to ride, other than in the circumstances where the represented Rider or Rider is engaged to ride a horse owned or part-owned or leased by the Rider's Agent.

17. VISITING TRAINER'S LICENCE

A Visiting Trainer's Licence is granted to a person who holds a trainer's licence issued by a Another Racing Authority in another country who wish to train racehorses in New Zealand for a limited period of time.

17.1. Requirements for the Grant of a Visiting Trainer's Licence.

To be granted a Visiting Trainer's Licence, an applicant must:

- (a) be the holder of an equivalent trainer's licence issued by Another Racing Authority recognised by NZTR.
- (b) complete and submit the Visiting Trainer's Licence Application Form, together with any fee prescribed by NZTR.
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy.

17.2. Criteria.

NZTR will assess the following criteria to determine whether to grant an applicant a Visiting Trainer's Licence:

- (a) *Relevant Information:* Racing Disciplinary History.
- (b) *Clearance:* confirmation from the Racing Authority in the country in which the trainer last trained racehorses (Another Racing Authority), that the trainer is not subject to any penalty and is in good standing with the Racing Authority.

17.3. Licence Conditions.

The applicant must agree to always comply with the Rules of Racing while in New Zealand, including all obligations and conditions contained therein.

- (a) The Visiting Trainer is not permitted to train any horses other than those brought by the Visiting Trainer to race in New Zealand;
- (b) The Visiting Trainer may only use training venues, Training Facilities, and a Trainer's Premises with the prior permission of, and subject to the conditions imposed by, the venue or Trainer as applicable; and
- (c) Except with the written permission of NZTR, a Visiting Trainer may only train in New Zealand for a maximum period of Three (3) months.

18. VISITING RIDER'S LICENCE

A Visiting Rider's Licence is granted to a person who has the equivalent rider's licence issued by a Another Racing Authority in another country, who wishes to ride in races in New Zealand for a limited period of time.

18.1. Requirements for the Grant of a Visiting Rider's Licence.

To be granted a Visiting Rider's Licence, an applicant must:

- (a) be the holder of an equivalent rider licence issued by Another Racing Authority recognised by NZTR;
- (b) complete and submit the Visiting Rider's Licence Application Form, together with any fee prescribed by NZTR; and
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy.

18.2. Criteria.

NZTR will assess the following criteria to determine whether to grant an applicant a Visiting Rider Licence:

- (a) *Relevant Information:* Racing Disciplinary History.
- (b) *Assessment:* The applicant may be required to participate in riding assessment to the satisfaction of a panel appointed by NZTR.
- (c) *Clearance:* confirmation from the Racing Authority in the country in which the rider last rode racehorses (Another Racing Authority), that the applicant is not subject to any penalty and is in good standing with the Racing Authority.

18.3. Licence Conditions.

The applicant must agree to always comply with the Rules of Racing while in New Zealand, including all obligations and conditions contained therein.

- (a) The Visiting Rider may only ride at training venues, Training Facilities, and a Trainer's Premises with the prior permission of, and subject to the conditions imposed by, the venue or Trainer, as applicable.
- (b) Except with the written permission of NZTR, a Visiting Rider may only ride in New Zealand for a maximum period of Three (3) months.

19. VISITING ASSISTANT'S LICENCE

A Visiting Assistant's Licence is granted a person who has a Class A Miscellaneous Licence or an Assistant Trainer Licence, or their equivalent, issued by Another Racing Authority in another country, and who are employed by a Visiting Trainer to assist with the training of racehorses, for a limited period of time.

19.1. Requirements for the Granting of a Visiting Assistant Licence.

To be granted a Visiting Assistant Licence, an applicant must:

- (a) be the holder of an appropriate licence issued by Another Racing Authority recognised by NZTR.

- (b) complete and submit the Visiting Assistant Licence Application Form, together with any fee prescribed by NZTR; and
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy.

19.2. Criteria.

NZTR will assess the following criteria to determine whether to grant an applicant a Visiting Assistant Licence:

- (a) *Relevant Information:* Racing Disciplinary History.
- (b) *Clearance:* confirmation from the Racing Authority in the country in which the assistant last assisted a trainer with the training of racehorses (Another Racing Authority), that the assistant is not subject to any penalty and is in good standing with the Racing Authority.

19.3. Licence Conditions.

The applicant must agree to comply with the Rules of Racing at all times while in New Zealand, including all obligations and conditions contained therein.

- (a) The Visiting Assistant is not permitted to assist with any horses other than those brought to Race in New Zealand, by the Visiting Trainer by whom he or she is employed; and
- (b) Except with the written permission of NZTR, a Visiting Assistant may only assist in New Zealand for a maximum period of Three (3) months.

Schedule 1 - NZTR Strength and Fitness Test

Participants must achieve a minimum of 70% overall to pass. Maximum duration is 30 minutes.

Upper Body

Adopt a press up position on the ground and hold this for as long as possible. Keep a 90-degree bend in the elbow with elbows close to side. Maintain this static position up to a maximum of 90 seconds. Percentage score based on the time correctly maintaining the exercise.

Lower Body

Standing on two wobble cushions (one under each foot) adopt the pushing position. Your knees will need to be bent so that your thigh is horizontal to the ground. You will need to maintain this static position up to a maximum of 4 minutes.

Core- Plank

Start on elbows and knees, locking hands together. Straighten legs and raise your body, supported by the balls of feet, with feet hip-distance apart. Face the floor, don't arch your back. Maintain this static position up to a maximum of 4 minutes. Percentage based on the time you correctly maintain the exercise.

Leg raises to metronome (set at 50bpm)

Lie on back with arms over shoulders holding onto something solid. Follow the metronome beep, raise feet so that they are pointing to the sky (keeping ankles together and legs straight) and return them to the start position on the next beep. One beep is up and one beep down. Continue this exercise up to a maximum of 4 minutes.

Fitness Bleep Test

This is a multi-stage Fitness Test (bleep test) and will take place over 20m. The distance will be marked out on the room floor or outside area. **This test is worth x2 of the other tests.** Maximum percentage for Level 12+ and this will decrease by 10% for each level scored below.

Ride a finish on a spring horse

You will be required to ride a finish on a simulator for up to a maximum of 4 minutes. You will be required ride a finish and follow instructions given to you by the NZTR riding mentor. When you are unable to carry on riding a finish or it is felt by your assessor that your ability is being compromised by your lack of fitness or ability to balance you will stop being timed and the assessment will be over.

PresPress up Position and Hold

Time (seconds)	Percentage Score %
23	25
45	50
60	75
90	100

Squats

Time (seconds)	Percentage Score %
60	25
120	50
180	75
240	100

Plank

Time (seconds)	Percentage Score %
60	25
120	50
180	75
240	100

Leg raises

Time (seconds)		Percentage Score %
60		25
120		50
180		75
240		100

Time (seconds)	Percentage Score %
Level 9	70
Level 10	80
Level 11	90
Level 12+	100

Time (seconds)	Percentage Score %
60 seconds	25
120 seconds	50
180 seconds	75
240 seconds	100

