

APPLICATION TO REGISTER A SYNDICATE

(In accordance with Rule 421 of the NZ Rules of Racing)

Please ensure that this form is completed accurately and all information requested is provided.
An incomplete form is subject to a processing delay.

IMPORTANT INFORMATION

- A Syndicate must have two or more members
- A Syndicate must appoint a natural person who has attained the age of 18 years, and been approved by NZTR, as its Syndicate Manager
- The Syndicate Manager is authorised by the Syndicate members to act for and on behalf of the Syndicate to the extent permitted by the Rules and any agreement governing the Syndicate.
- Each Syndicate member must complete a separate application page, even if the share is split
- Where a Syndicate is an owner or lessee of a horse, the horse will race in the name of the Syndicate and not in the names of the members

SECTION 1: SYNDICATE DETAILS

Type of Syndicate	Private <input type="checkbox"/>	Authorised <input type="checkbox"/>	Number of Shares
Syndicate name is limited to 60 characters. Name options in order of preference are:			
Option 1			
Option 2			
Option 3			
Name of Syndicate Manager			
Is the syndicate registered as a single entity for the purposes of GST No <input type="checkbox"/> Yes <input type="checkbox"/> → GST Number:			
The syndicate is the owner/lessee of the horse:			

SECTION 2: SYNDICATE MANAGER

I hereby apply to register a Syndicate under the New Zealand Rules of Racing and declare to be bound by those Rules.

I accept appointment as Syndicate Manager and agree to act on the owners' behalf in all matters, as permitted by the Rules and any agreement governing the Syndicate.

I confirm that details for all members of the Syndicate have been supplied to NZTR. Every change in the membership of the Syndicate, including the death of a member, will be notified to NZTR within 14 days on form SR9A Change of Syndicate Membership.

Name & Contact Details	
Surname	
Given Name(s)	
Preferred Name	
Date of Birth	Title (optional) <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss Other:
Postal Address	
Email	
Phone - Mobile	Phone – Home or Other

SECTION 2 CONTINUED: SYNDICATE MANAGER**Privacy Act 2020**

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 18 Dick Street, Cambridge. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and, with the exception of bank account details, disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services.

NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box.

Syndicate Manager Responsibilities (Rule 421 (6))

A Syndicate Manager:

- (a) must, when exercising powers or performing duties in that capacity, act in good faith and in what they believe to be the best interests of the Syndicate; and
- (b) may be appointed as the Racing Manager of horses owned or leased by the Syndicate in accordance with Rule 406(a)(iv); and
- (c) must keep proper and accurate records of the affairs and activities of the Syndicate and of all income, costs and expenses, assets and liabilities of the Syndicate, and provide copies of the same to the members of the Syndicate on request; and
- (d) may, when the relevant Syndicate is the Owner or lessee of a horse and the Rules require an Owner to give a notice or take some other action in connection with the horse, give that notice or take that action for and on behalf of the Syndicate as Owner; and
- (e) may not sub-contract or delegate the performance or exercise any of their duties, powers or obligations as Syndicate Manager without notifying the Syndicate members and will remain liable for the proper performance or exercise of any such duty, power or obligation; and
- (f) must use Syndicate funds only for purposes related to the affairs or activities of the Syndicate or as otherwise authorised by the Rules or the agreement (if any) governing the Syndicate.

Declaration & signature

By signing this form I certify:

- I am bound by the New Zealand Thoroughbred Racing Rules of Racing.
- I will carry out the responsibilities of the Syndicate Manager as set out in Rule 421.
- I have read the form and all the information set out on this form is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise.
- I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse, and (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)).
- I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.

Signed

Individual Share Percentage (%) held
by you within this Syndicate

Date

SECTION 3: SYNDICATE MEMBER NO: [] (ONE PERSON PER PAGE)

Syndicate Name

Syndicate Manager

Syndicate Member Name & Contact Details

Surname

Given Name(s)

Preferred Name

Date of Birth **Title (optional)** Mr Mrs Ms Miss **Other:**

Postal Address

Email

Phone - Mobile **Phone – Home or Other**

Privacy Act 2020

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- I understand that the Syndicate Manager is authorised by the Syndicate members to act for and on behalf of the Syndicate to the extent permitted by the Rules and any agreement governing the Syndicate.

Signed	Individual Share Percentage (%) held by <u>you</u> within this Syndicate	Date
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SECTION 3 CONTINUED: SYNDICATE MEMBER NO: [] (ONE PERSON PER PAGE)

Syndicate Name		
Syndicate Manager		
Syndicate Member Name & Contact Details		
Surname		
Given Name(s)		
Preferred Name		
Date of Birth	Title (optional) <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Miss Other:	
Postal Address		
Email		
Phone - Mobile	Phone – Home or Other	
Privacy Act 2020		
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Declaration & signature		
By signing this form I certify:		
<ul style="list-style-type: none"> I am bound by the New Zealand Thoroughbred Racing Rules of Racing. I have read the form and all the information set out on this form is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse, and (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I understand that the Syndicate Manager is authorised by the Syndicate members to act for and on behalf of the Syndicate to the extent permitted by the Rules and any agreement governing the Syndicate. 		
Signed	Individual Share Percentage (%) held by <u>you</u> within this Syndicate	Date

COPY THIS PAGE IF MORE NEW SYNDICATE MEMBER SECTIONS ARE REQUIRED