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Email: registration@nztr.co.nz
Web: nztr.co.nz

Form Ref: SR20: 01-25

## **NOTICE OF CHANGE OF OWNERSHIP OF A HORSE**

NZTR requires the new owner to submit a change of ownership to NZTR within <u>15 days</u> of any such change taking place, or before a horse starts in a race, whichever is the shorter period.

Please ensure that this form is completed accurately and all information requested is provided. An incomplete form is subject to a processing delay.

This registration is subject to Training, Co-owner and Lease Agreements being in place (Part XV of the Rules of Racing). Unless the parties to this registration have their own agreements, which must have been properly submitted to NZTR, they will be deemed to be subject to the standard NZTR Training Agreement, the NZTR Co-owner Agreement and the NZTR Lease Agreement.

More information is on NZTR website: https://loveracing.nz/nztr/info-and-comms/trainer-owner-reforms

SECTION 1. HORSE DETAILS						
Name:			Purchase/Transfer Date:			
Sire:		Dam:				
Age/Year of Foaling:	Sex: Colt □ Filly □ Ma	re □ Gelding □	Colour: B  Blk  Br  Ch  Gr			
	Gelding date:		Other:			
Cipher Brand (Near Side)	Numerical Brand (Off Side)		Microchip:			
SECTION 2. SELLER DETAILS						
Must be completed by ALL persons (or their authorise SR20A.	d agent) relinquishing their	share, or part thereof, in th	e horse. If more seller sections are required, attach form			
1. Full Name:		Signature:				
2. Full Name:		Signature:				
3. Full Name:		Signature:				
4. Full Name:		Signature:				
5. Full Name:		Signature:				
6. Full Name:		Signature:				
7. Full Name:		Signature:				
8. Full Name:		Signature:				
9. Full Name:		Signature:				
10. Full Name:		Signature:				
SECTION 3: FEE & PAYMENT						
The fee for a Change of Ownership is \$120.00 (GST inclus	ive). Please confirm payment	method below.				
Bank deposit to NZ Thoroughbred Racing bank account: 0	01-0517-0063944-00					
Date deposit made Reference used						
Credit/debit card. I authorise the fee to be charged Mas	tercard □ Visa □	Amex   Diners Club				
Card No:			Expiry:/			
Cardholder's Name		Signature				
When the fee is paid this form constitutes a GST tax invoice		g GST Number: 10-386-896 taxable activity within the GS	CT Act a copy should be retained for your records			

Horse Name: Sire: Dam: SECTION 4. RACING MANAGER (as required under Rules 429-430, 1401-1437) Every horse must have a person appointed as Racing Manager. Where a horse is owned by a legal entity (e.g. a company) details of the legal entity must be entered in the new owner section. Is there a current Racing Manager registered with NZTR YES □→ Is the racing manager staying the same? → If YES → Sign bottom of this section NO □ → Confirm racing manager below If NO → Confirm racing manager below for this horse? I accept appointment as Racing Manager for the abovementioned horse and agree to act on the owners' behalf in all matters, as required under Rules 425-430, 1401-1437 (specifically 1429-1431 Accountable Person) of the New Zealand Rules of Racing, including the provision of NZTR Owners Settlement Accounts. Date of Birth First Name(s) Surname Postal address **Email Address** Telephone - Mobile Telephone - Home The NZTR Owners Settlement Account for the abovementioned horse will be sent to the Racing Manager by email. Please advise if there is an email address to which you would like copies of the NZTR Owners Settlement Account to be sent to (e.g. your accountant): **Email for copies of NZTR Owners Settlement Accounts** The Settlement Account can also be managed online at <u>Ioveracing.nz</u>, email <u>TROSA@nztr.co.nz</u> for access to this service. NZ bank account for stakes payment: PLEASE ATTACH PROOF OF ACCOUNT FROM YOUR BANK TO THIS APPLICATION Payment of all accounts held in your name with NZTR, which relate to fees including (but not limited to) nomination, acceptance and jockey fees is due by the 20th day of the month following the month in which the debt is incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment is received in full. In the event NZTR engages a debt collection agency to collect unpaid amounts from you, you will also be liable to pay to NZTR the reasonable collection costs it incurs to do so. The full NZTR Credit Policy is available from the NZTR website *loveracing.nz*. Declaration: By signing and submitting this form to NZTR I certify I have read the form and that all of the information I have set out on this form is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am: (a) Eligible to enter on a racecourse (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race. I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse. I authorise NZTR to use the information collected from me for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate. If you do not wish your information to be disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box  $\square$ Signed Date (if applicable) **SECTION 5. OWNER DETAILS** Every person with an ownership share in the horse must complete a separate section. Please print clearly. **NEW OWNER** First Name(s) Date of Birth Surname Postal address **Email Address** Telephone - Home Telephone - Mobile Declaration: By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise. I authorise NZTR to use the information collected from me for any purpose which, in accordance with its privacy policy, it may in its discretion think appropriate If you do not wish your information to be disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box. Signed % Date

forse Name: Sire	e:		Dam:		
NEW OWNER					
First Name(s)	Sur	name			Date of Birth
Postal address					
Email Address					
Telephone – Home		Telephone – Mobile			
Declaration: By signing this form I certify I have read the form and that horse, the appointment of the Racing Manager, is true and correct. I ac being prosecuted under the Rules of Racing or otherwise. I confirm with Rules of Racing to have an interest in or to enter or start such horse in NZTR is prima facie evidence of the registered ownership of the application ownership of such horse in the event that a dispute may arise.	knowledg the requancy any race	ge that the provi uirements listed (Rule 525 (2)).	sion of any false, misleading or inaccura therein and that I am (a) Eligible to ente I acknowledge and agree that the Regis	ate info r on a ter of r	ormation on this form may result in me racecourse;(b) Eligible under the registered horses and owners kept by
I authorise NZTR to use the information collected from me for any purpose					
If you do <b>not</b> wish your information to be disclosed and retained by third the box. $\Box$	d parties	for the purpose	of providing you with information on eve	nts, pr	roducts and services, then please tick
Signed			%	Date	9
NEW OWNER					
First Name(s)	Sur	name			Date of Birth
Postal address					
Email Address					
Telephone – Home		Telephone -	- Mobile		
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Signed			%	Date	9
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If you do <b>not</b> wish your information to be disclosed and retained by third the box. $\Box$	d parties	for the purpose	ot providing you with information on eve	nts, pr	roducts and services, then please tick
Signed			%	Date	•

IF MORE OWNER SECTIONS ARE REQUIRED EITHER COPY THIS PAGE OR USE FORM SR20B

lorse Name:	Sire:	Dam:

SECTION 6: GST STATUS						
6.1	Is this horse included within your GST activities for racing?		If YES → go to 6.2 below If NO → go to Section 7			
6.2	Are you or your ownership group a single entity for GST purposes?		If YES $\rightarrow$ go to (a) below If NO $\rightarrow$ go to (b) below			
	(a)	If you answered YES to 6.2 please provide your GST number/or your ownership groups GST number	GST Number:  GST Partnership Name (if applicable):			
	(b)	If you answered NO to question 6.2 above, you will be required to provide the GST below.	number for each individual owner GST registered for racing in the boxes			
	Name of GST Registered Person		GST Number For Racing Purposes			

SECTION 7. RACEBOOK NAME / OWNERSHIP DISPLAY FOR WEBSITE & PUBLICATIONS					
The display of registered ownership on the NZTR website is alphabetical order by surname or entity name. Any variation in the order of names needs to be specified below.					
Space in some publications is limited, please be aware that all names may not be published but will appear on <i>loveracing.nz</i> website.					
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
Colours horse will race in: If not registered, NZTR approval of the design is required – form SR4					
Registered owner of colours:					

## **PRIVACY ACT 2020**

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 18 Dick Street, Cambridge. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and, with the exception of bank account details, disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services.

NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box under your details in New Owner section of this form.