



Racing Integrity Board
New Zealand

Thoroughbred Racing
Minor Infringement Schedule
May 2026

Thoroughbred Racing Minor Infringement Schedule

INTRODUCTION

The update of the RIB Penalty Guide provided an opportunity to examine the viability of transferring some of the breaches previously dealt with by way of filing an Information, into the Minor Infringement Schedule (MIS).

As a result, the MIS was promulgated, ensuring that penalties imposed meet the requirements of the Racing Industry Act 2020 and as well ensuring penalties imposed are in conformity with the NZTR Rules of Racing.

Recognising changes made to the Rules of Racing over time, this May 2026 update aligns the minor infringements with the corresponding Rule numbers.

Desired Outcome

An important and desirable outcome of transferring more minor infringements into the schedule is to assist in streamlining adjudication hearing processes before, during and often after racedays. Not only is it more effective, but it is also more efficient. Thus, freeing up time of Stewards, Riders, Trainers, and other Officials who might otherwise be required to attend hearings relating to minor infringements during the course of a raceday.

OBJECTIVES

In addition to improves outcomes, increasing the scope of breaches that can now be dealt with by way of the Minor Infringement Schedule enable and support industry wide integrity objectives; namely:

1. To ensure that penalties imposed for minor infringements are consistent and align with contemporary precedent case results.
2. To ensure for transparency so that stakeholders are aware of the consequences of breaching any of the rules containing within the schedule.
3. To ensure that penalties imposed operate as a deterrent and uphold and maintain the high standards expected of those who participate in Thoroughbred Racing.
4. To ensure that penalties imposed uphold the integrity of Racing and protect the racing industry, participants, and the public.
5. To ensure that penalties reflect both current animal welfare focus and health and safety standards.
6. To ensure that penalties reflect NZTR policy intent.

MINOR INFRINGEMENT SYSTEM

The Minor Infringement System is enabled by NZTR Rule 926(1) which provides that:

(1) An Adjudicative Committee may impose a penalty on a Licensed Person who:

(a) admits in writing he has committed a breach of one or more of the Rules set out in the Fourth Appendix; and

(b) accepts in writing the penalty imposed, being a fine in the amount specified for the relevant Rule in the Fourth Appendix, without the requirement for an information to be filed or for proceedings to be heard and a decision to be made by the Adjudicative Committee, provided that such breach is only the first or second breach of that Rule committed by that Licensed Person in the period of 120 days immediately preceding and including the date the breach is committed. Such Licensed Person shall be deemed to have committed a breach of that Rule and such breach shall be treated upon the approving and signing of the notice by the Chair of the Adjudicative Committee at that Race Meeting, as if an Adjudicative Committee had considered an information and made a decision to that effect, except that there shall be no right to appeal the imposition of the fine to the Appeals Tribunal.

(2) Where a Stipendiary Steward or Investigator considers that a Licensed Person's first or second breach of one or more of the Rules set out in the Fourth Appendix is of such significance that a more substantial penalty than the amount of the fine specified for the relevant Rule in the Fourth Appendix is warranted for that breach, then despite sub- Rule (1) above, the Stipendiary Steward or Investigator may proceed by filing an information with the Adjudicative Committee in respect of that breach in the normal manner contemplated in Rule 903.

(3) Where the Licensed Person:

(a) admits in writing that he has committed a breach of the particular Rule but does not accept the penalty imposed;

(b) does not admit that he has committed a breach of the particular Rule; or

(c) who is alleged to have committed a breach has already committed two or more breaches of that Rule in the period of 120 days immediately preceding and including the date the breach is committed, then the Stipendiary Steward or Investigator shall proceed by filing an information with the Adjudicative Committee in respect of that breach in the normal manner contemplated in Rule 903.

(4) The penalty imposed by an Adjudicative Committee in accordance with sub-Rule (1) above shall be a fine of the amount(s) specified for the first or second offence of the applicable Rule(s) in the Fourth Appendix.

MINOR INFRINGEMENT SCHEDULE – THOROUGHBRED

(As per Fourth Appendix NZTR Rules of Racing)

| Rule# | Rule | Penalty Offence 1 | Penalty Offence 2 |
|---------------|---|----------------------|----------------------|
| 320B | Trainer failing to notify horse movement | \$50 | \$100 |
| 321 | Non-licensed persons | \$100 | \$200 |
| 327 | Failure to notify person in charge of horse when not present at meeting | \$100 | \$200 |
| 354(1) | Apprentice engaged to ride without permission | \$50 | \$100 |
| 346(1) | Breaking riding engagement | \$200 | \$300 |
| 346(2) | Double riding engagement | \$200 | \$300 |
| 346(3) | Fails to make contracted weight | \$100 | \$200 |
| 346(4) | More than one rider agent | \$100 | \$300 |
| 347 | Trainer/Owner etc breaking riding engagement | \$200 | \$300 |
| 352 | Handheld device while mounted on horse | \$100 | \$200 |
| 354(2) | Rider's agent or Employer breaking apprentice's riding engagement or double-booked rider | \$200 | \$300 |
| 423(1) | Failure to notify change of ownership | \$250 | \$300 |
| 433(2) | Wrong colours being worn | \$50 | \$100 |
| 537(a) | Late rider declaration | \$50 | \$100 |
| 605(1) | Rider late arrival on course | \$100 | \$200 |
| 605(2) | Apprentice failing to notify representative | \$100 | \$200 |
| 606 | Leaving jockey's room without permission | \$100 | \$200 |
| 607 | Suspended rider entering jockey's room | \$100 | \$200 |
| 610(2) | Failure to wear approved body protector and/or skull cap | \$500 | \$750 |
| 610(4) | Having or wearing a modified body protector or skull cap | \$500 | \$750 |
| 612(1)(2)&(3) | Non-compliant, unsuitable, or unsound gear | \$150 | \$300 |
| 612(4) | Horse must have bit in mouth | \$100 | \$200 |
| 612(5) | Approved footwear while leading a horse | \$100 | \$200 |
| 613 | Unsatisfactory racing plates/bandages | \$150 | \$300 |
| 614(2) | Neglect in saddling – a) presenting horse with incorrect saddlecloth | \$50 | Information |
| 614(2) | Neglect in saddling – b) affixing gear incorrectly | \$100 | Information |
| 614(2) | Neglect in saddling – c) omitting towel | \$150 | Information |
| 615 | Failure to report loss or breakage of gear | \$100 | \$200 |
| 616(2)&(4) | Notifiable gear | \$50 | \$100 |
| 619 | Incorrect gear while weighing out | \$100 | \$200 |
| 621 | Failure to present medical certificate | \$200 | \$300 |
| 625(1) | Late into birdcage | \$50 | \$100 |
| 626(1) | Horse to be ridden in front of stand on leaving birdcage | \$50 | \$100 |
| 636(1)(g) | Rider using unapproved gear or colours | \$300 | \$400 |
| 638(2) | Celebratory gesture | \$300 | \$500 |
| 640(3) | Jumping a fence prior to start of Jumping race | \$200 | \$300 |
| 647(1) | Failure to weigh in in non -stake bearing place | \$300 | \$500 |
| 648(5) | Weigh in overweight Note: This Rule may require the Adjudicative Committee to make a finding – as the Rule | \$200 | \$300 |

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| | provides that <i>any other person who a Tribunal conducting an inquiry find at fault, commits a breach of these Rules.</i> | | |
| 649(1)(b) | Touches a person/thing prior to weighing in | \$100 | \$200 |
| 649(3) | Weigh in light in a non - stake bearing place | \$300 | \$500 |
| 650(1)-(6) | Failure to report to the Stewards any of the specified circumstances | \$300 | \$500 |
| 1405 | Failure of accountable person to notify horse movement | \$100 | \$200 |